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1 **Effects of decadal experimental drought and climate extremes on vegetation growth in**
2 **Mediterranean forests and shrublands**

3
4 Short running title: Vegetation growth to decadal drought

5
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35 **Abstract**

36 Increased drought combined with extreme episodes of heatwaves, are triggering severe
37 impacts on vegetation growth, particularly for plant communities in arid and semiarid
38 ecosystems. Although there is an abundance of short-term field drought experiments in
39 natural ecosystems, remaining knowledge gaps limit the understanding and prediction of
40 vegetation growth to ongoing and future climate scenarios. Here, we assessed the impacts of
41 long-term (1999-2016) experimental drought (ca. -30% rainfall) on the vegetation growth of
42 a Mediterranean high (H-) and low (L-) canopy forests and an early successional shrubland,
43 as indicated by aboveground biomass increment (ABI) and standing density, respectively. We
44 found habitat context (historical climate change impact, soil depth and successional status) of
45 the study sites significantly affected the magnitude of climate impacts; there were synergistic
46 effects of experimental drought and meteorological drought (Standardised Precipitation-
47 Evapotranspiration Index, SPEI) as well as extreme dry years on vegetation growth. Long-
48 term experimental drought decreased the ABI for the two forest canopy types and the
49 standing density for the shrubland. Water availabilities in winter-spring (SPEIs) were
50 positively correlated with the ABI and standing density. Moreover, the experimental drought
51 decreased the vegetation growth in extreme dry years for the shrubland. We propose that
52 future work not only study the vegetation dynamics with physiological, phenological and
53 demographical changes at long-term process and across climate gradients, but also should
54 explore the changes of simultaneous multiple functions (e.g. multifunctionality) under long-
55 term process and extremes. This type of analysis of long-term data is essential to understand
56 and predict biodiversity loss, composition shifts, declines in ecosystem function and carbon
57 budgets at temporal and spatial scales, to enable policymakers to design and implement
58 strategies for the maintenance of sustainable ecosystem function under future climate change
59 scenarios.

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61 Key words: Mediterranean ecosystems; decadal drought experiment; vegetation growth;
62 aboveground biomass increment (ABI); standing density; habitat context;

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69 **Introduction**

70 Drought, largely due to rapid warming and shifts in precipitation have increased water stress
71 across the terrestrial ecosystems, resulting in ecological consequences for the dynamics of
72 plant community structure and ecosystem functions (Walther et al. 2002; Bellard et al. 2012;
73 Peñuelas et al. 2013; Seddon et al. 2016). Mediterranean ecosystems are particularly
74 susceptible to declines in soil water availability and the more frequent combination of climate
75 extremes such as heatwaves and drought events during the summer (Myers et al. 2000;
76 Peñuelas et al. 2017; 2018; Cramer et al. 2018). Indeed, unprecedented impacts of water
77 deficit on vegetation have been widely documented, such as episodes of tree mortality and
78 forest die-off (Peñuelas et al. 2001; Lloret, Siscart, et al. 2004; Carnicer et al. 2011; Saura-
79 Mas et al. 2015), shifts in community composition (Peñuelas & Boada, 2003; Peñuelas et al.
80 2007; Ruiz-Benito et al. 2017) and declines in ecosystem carbon uptake and storage (Ciais et
81 al. 2005; Vicente-Serrano et al. 2013; Papadopoulos et al. 2017). By the end of this century,
82 water deficits in the Mediterranean Basin are expected to increase in severity and frequency
83 under the projected scenarios of 2-4 °C increases in temperature and the concurrent decreases
84 of up to 30% in precipitation (Cramer et al. 2018; Lionello & Scarascia, 2018). These
85 increases in frequency and intensity of climate extremes will further trigger forest
86 degradation and land desertification, especially within the overarching context of land-use
87 change, habitat fragmentation and biotic invasions (Doblas-Miranda et al. 2017; Peñuelas et
88 al. 2017; 2018; Cramer et al. 2018). Therefore, understanding and predicting vegetation
89 dynamics to future climate change is essential, especially if the impacts are to be effectively
90 mitigated through the design and implementation of ecosystem restoration in future.

91 The effective method to measure the vegetation response to climate regime is still limited.
92 Manipulating drought experiments (i.e. reduced rainfall) in natural ecosystems are considered
93 as one of the useful methods to track the climate-caused vegetation responses, such as
94 physiological changes, plant growth, community composition and ecosystem functioning
95 (Luo et al. 2011; Beier et al. 2012; Liu et al. 2015; 2017). These studies provide an essential
96 opportunity to explore the response of a local community to climatic change. However, the
97 result of plant responses and other ecological processes by drought regime is based on short-
98 term studies (less than 5 years). It is still unclear whether the direction and magnitude of the
99 effects of drought on vegetation will continue over time. Short-term experiments have shown
100 immediate and transient vegetation responses to simulated climate change, and the effects
101 could be accumulative and lag behind at long-term processes (Smith et al., 2009; Peñuelas et
102 al. 2013; 2018). A recent study has reported that drought effect on aboveground net primary

103 productivity (ANPP) of Mediterranean forest is increasing over 15 years under 27% rainfall
104 exclusion (Gavinet et al. 2019), which is not detected in the short-term. It is also likely that
105 plants rely on their plasticity to tolerate the shifts in climate regimes at short-term climate
106 regimes. It has been reported no net change in standing biomass and species diversity for the
107 shrublands across 9 years of reduced rainfall in Israel (Tielbörger et al. 2014; Metz &
108 Tielbörger, 2016). Moreover, the impacts of climate on plant growth can be decreased or
109 dampened over time (Leuzinger et al., 2011; Barbeta et al., 2013). A number of studies have
110 reported that plants can adapt to drought through physiological, phenological and
111 morphological adjustments, community recruitment (i.e. regrowth and new seedling) and
112 biotic interactions (i.e. increased mycorrhizae-plant associations) (Bellard et al. 2012;
113 Peñuelas et al. 2013; 2018; Liu, et al. 2017). Thus, gaining knowledge on longer temporal
114 scale of vegetation responses to climate change is urgently required.

115 Long-term (decadal) drought experiment is a promising method to evaluate vegetation
116 growth over time that can capture the results of plant adjustments and ecological interactions
117 (Luo et al., 2011; Liu et al., 2017, Liu, Ogaya, et al. 2018). The magnitude of long-term
118 drought effects could be especially responsive in such already water-deficit ecosystems.
119 Strong responses in plant species diversity and composition have been found in decadal
120 drought experiments for the shrublands in the Mediterranean ecosystems, whereas nearly no
121 responses have been observed in the shrublands of wet sites in UK (Clocaenog) and Denmark
122 (Brandbjerg) (Kröel-Dulay et al. 2015; Estiarte et al. 2016; Reinsch et al. 2017). Moreover,
123 unprecedented and severe climate extremes may increase the drought intensity for the
124 experimental plot, leading to severe negative impacts on carbon assimilation, water transport
125 and growth limitation beyond the bounds of plasticity and endurance of the Mediterranean
126 plants (Limousin et al., 2012; Liu, et al. 2016; 2017). Some studies have reported that
127 experimental drought and combined extreme droughts significantly affect flower and fruit
128 development, which may decrease the new recruitment (Peñuelas et al. 2004; Misson et al.,
129 2011). In recent extreme dry years, higher stem mortality and crown defoliation (i.e. leaf and
130 branch litterfall) in a holm oak forests were reported in experimental drought plots than in
131 control plots (Barbeta et al. 2015; Liu et al., 2015; Liu, Ogaya, et al. 2018). Interestingly, the
132 effect of long-term drought may also relate to the climate, soil depth and vegetation
133 composition of the study site (Martin-Stpaul et al., 2013; Liu, Ogaya, et al. 2018). These
134 issues have not been addressed by long-term drought experiments, hindering an accurate
135 prediction of vegetation dynamics to climatic regime.

136 The aim of this study is to analyze plant biomass dynamics from two long-term (1999-
137 2016) drought experiments in the Mediterranean region, one in a holm oak forest (with a high
138 and low canopy, details in Method and Materials) and the other in an early successional
139 shrubland. These two experiments have provided evidence for the impacts of short-term
140 drought on plant physiological (carbon assimilation) processes, especially during summer
141 (Llorens et al. 2003; Prieto et al. 2009; Liu et al. 2016; Zhang et al., 2017), phenology
142 (advanced and delayed leaf and flower opening) (Peñuelas et al. 2004; Ogaya & Peñuelas,
143 2007a; Bernal et al. 2011) and genetic responses (increased genetic differentiation) (Jump et
144 al. 2008; Rico et al. 2014). We hypothesize that long-term experimental drought combined
145 with meteorological drought (Standardized Precipitation-Evapotranspiration Index, SPEI)
146 will significantly decrease the plant biomass accumulation for the Mediterranean forest
147 (especially in low canopy) and shrubland. The primary objective of our study is to analyze
148 the vegetation growth (aboveground biomass increment, ABI in the forest and standing
149 density in the shrubland) in response to the 18 years of experimental drought and explore the
150 responses with the effects of meteorological drought (SPEIs) and extreme drought episodes.
151 This study aims, thus to improve the understanding of the responses of plant growth to
152 ongoing and future climate change, and inform the design of effective strategies to maintain
153 ecosystem carbon sink capacity.

154

155 **Method and Materials**

156 Long-term drought experiments

157 1) *Mediterranean forest*

158 The forest site is located at a south-facing slope (ca. 25°) in Prades mountains in southern
159 Catalonia, northeastern Iberian Peninsula (41°21'N, 1°2'E; 930 m above sea level; Fig. 1C).
160 This forest is a typical Mediterranean holm oak forest, dominated by the evergreen tree
161 *Quercus ilex*, and usually accompanied with the shrubs *Phillyrea latifolia* and *Arbutus unedo*.
162 The forest has not been disturbed by anthropogenic thinning and coppicing since 1940s. The
163 vegetation structure and height are naturally variable due to the heterogeneity of nutrient
164 availability, soil depth and bedrock type (Liu, Ogaya, et al. 2018). The vegetation at deep soil
165 sites is usually composed by tall and big stands and dominated by *Q. ilex* individual 8-10 m
166 tall (High, indicated as H-canopy hereafter), whereas at the shallow soil sites *Q. ilex* is mixed
167 with several shrubs including *P. latifolia* reaching 4-6 m in height (Low, indicated as L-
168 canopy hereafter) (detailed feature for the two canopy types in Table 1) (Liu, Ogaya, et al.
169 2018). We simulated a drier climate by excluding 30% of annual precipitation (similar to the

170 predicted scenarios of precipitation reduction in coming decades) with a nonintrusive system
171 based on the installation of transparent plastic long strips (0.5-0.8 m wide) within drought
172 plots (Fig. 1A, B). Four plots (10 x 15 m per plot) were respectively established in both H-
173 and L-canopy, and half of them (two replicates per each type) were randomly selected for
174 drought treatment whereas the other two were assigned as control treatment. At the upper
175 edges of the drought plots, we built ditches (0.8-1 m deep) to intercept the water runoff into
176 the plots. Throughout the study period of 1999-2016, annual soil moisture in the H-canopy
177 and L-canopy was decreased by ca. 15% (see details in Table S1).

178 In 1998, all living trees (Diameter > 2 cm) were labelled at a height of 50 cm (D50) and
179 since then their diameter was measured in winter, a growth-less season. Aboveground
180 biomass (AB) at plot level was estimated from stem diameters by allometric relationships
181 (Ogaya & Peñuelas, 2007b; Liu et al. 2015). The aboveground biomass increment (ABI) was
182 calculated annually using the differences in AB between years for the two canopy types. This
183 growth metric is a good proxy for exploring the forest growth dynamic in response to
184 experimental drought and natural droughts.

185 A meteorological station installed at the study site automatically recorded daily
186 temperature and precipitation since the pre-treatment in 1998. Soil moisture at 0-15 cm depth
187 was measured seasonally throughout the experimental period by Time Domain Reflectometry
188 (TDR, Tektronix 1502C, Tektronix, Beaverton, OR, USA) using probes permanently
189 installed in the soil at four randomly selected locations in each plot. Monthly temperature and
190 precipitation for 1975-2001 were obtained from the nearby meteorological station of Poblet
191 Monastery (5.6 km away from the study plots and at 510 m a.s.l.) (linear regression for 1998-
192 2001: $R^2=0.97$ for temperature and $R^2=0.75$ for precipitation). Based on the data collected at
193 the site and at the nearby meteorological station, we calculated the Standardized
194 Precipitation-Evapotranspiration Index (SPEI), a drought index that takes into account both
195 precipitation and temperature fluctuations, and identifies the drought severity according to the
196 intensity and duration (Vicente-Serrano et al. 2013). Thus, a database of monthly difference
197 between precipitation and the potential evapotranspiration by temperature changes (D values)
198 was constructed from 1975-2016, and then applied to calculate the SPEI with different
199 timescales (1-36 months) by SPEI package (version 1.6). The SPEI index is widely used to
200 explore the relationships between plant responses and water deficits at different temporal
201 scales. The range of this drought index is from -3 to 3, lower values represent more drought
202 stress. SPEI with different timescales stand for the levels of drought-sensitivity, higher
203 drought-sensitivity usually at shorter temporal scale while more drought-resistance at longer

204 temporal scale (a detailed calculation was described in Barbeta et al. (2013) and Liu et al.
205 (2015, 2017)). We used SPEI to evaluate the drought severity from 1975 to 2016. At the
206 forest site, mean annual SPEIs and August SPEI-3 decreased ($R^2 = 0.09$, $p < 0.1$ and $R^2 =$
207 0.26 , $p < 0.001$; respectively) during the period of 1975-2016 (Fig. 2A, C). Moreover, we
208 defined the extreme dry years based on the lowest 10 percentile mean annual precipitation
209 (MAP) (Knapp et al. 2015) during the period of 1975-2016 and we identified 2001, 2005-06
210 and 2015 as extreme dry years during the study period 1999-2016.

211 2) *Mediterranean shrubland*

212 The shrubland site is located at a south-facing slope (ca. 13°) hill of Garraf Natural Park in
213 central coastal Catalonia (northeastern Iberian Peninsula) ($41^\circ 18' N$, $1^\circ 49' E$; 210 m above sea
214 level; Fig. 1C). The soil in the study site is typically calcareous, and the substrate is mainly
215 composed of marls and limestone with rocky outcrops. The dominant vegetation changed to
216 short perennial shrubs after the two wild fires in 1982 and 1994 eliminated coniferous forest.
217 The main plant species are the shrubs *Globularia alypum* and *Erica multiflora* whose
218 composition is higher than 50% of the total community and are accompanied by other
219 Mediterranean shrubs such as *Rosmarinus officinalis*, *Dorycnium pentaphyllum* and *Ulex*
220 *parviflorus* and herbs such as *Euphorbia serrata* (see details in Liu et al. 2017). To simulate
221 future drought regimes, we excluded precipitation with a nonintrusive system that
222 automatically extends a transparent waterproof cover to intercept precipitation during spring
223 and autumn growing season (May-September) during 1999-2015 (Peñuelas et al. 2007; Liu et
224 al. 2016; 2017) and transparent V-type plastic to intercept the rainfall since the damage of
225 automatic system after 2015. Six plots (4 x 5 m) were built along the same slope, three plots
226 randomly selected as drought treatment while the rest were used as control (Fig. 1D, E; Table
227 1). Throughout the study period of 1999-2016, the drought treatment reduced annually the
228 precipitation around 30%, and the soil moisture at 0-15 cm depth around a 15% compared to
229 control.

230 From the pre-treatment in 1998 to 2016, the vegetation growth in the shrubland was
231 measured once per year in end July, when growth is stopped, using the point-intercept
232 method. Vegetation measurements were conducted at the central area (3 x 4 m) of each plot
233 using five parallel 3-m long transects permanently fixed at the beginning of the study. Along
234 each transect 61 points were evenly distributed totaling 305 points per plot. We lowered a
235 long steel pin (3 mm diameter) through each sampling point and every time the pin hit a plant
236 we recorded plant species, organs (e.g. leaf, reproductive structure or stem) and state (live or
237 dead). The growth metric is calculated as the stand biomass (or density) for this study site.

238 Daily air temperature and precipitation during the study period were recorded by a
239 meteorological station at the study site. Since the start of this experiment in 1998, soil
240 moisture at of 0-15 cm depth was measured biweekly using Time Domain Reflectometry
241 (TDR) by probes permanently inserted at three points per plot. Historical climate data since
242 1975 was collected from a nearby meteorological station (10 km away from the study plots
243 and at 200 m a.s.l.) to get the background climate regimes for the study site (Liu et al. 2017).
244 The SPEI drought index was calculated based on the site climate data from 1998-2016 and
245 historical climate (linear regression with our station for 1998-2010: $R^2=0.99$ for temperature
246 and $R^2=0.83$ for precipitation). The calculation of SPEI in the shrubland site is similar with
247 the forest site described above. No trends in mean annual SPEIs was detected in the
248 shrubland, but August SPEI-3 decreased significantly ($R^2 = 0.09$, $p < 0.05$) across the period
249 of 1975-2016 (Fig. 2B, D). As described for the forest site, in the shrubland we identified
250 2001, 2005-06 and 2016 as extreme dry years based on the lowest 10 percentile MAP (Knapp
251 et al. 2015) during the period 1975-2016.

252 Statistical analysis

253 To analyze the vegetation responses to drought throughout the period from the pre-
254 treatment year 1998 to 2016, vegetation growth of ABI for the forest and the standing density
255 for the shrubland were tested respectively. In the forest we analyzed separately the two
256 canopy types. In the shrubland, first we log-transformed the abundance or frequency for each
257 species, and then the values of all the species were summed and given equal weight for all
258 species at community level. This method reduces the overrepresentation of highly dominating
259 species such as *G. alypum* and *E. multiflora* (Šmilauer & Lepš, 2014; Liu, Peñuelas, et al.
260 2018). The vegetation growth for forests and shrubland were taken as the responsive
261 variables to the drought treatment combined with natural droughts (SPEIs). The SPEIs were
262 calculated for different timescales ranging from 3 to 12 months, and the growing season was
263 considered during the rainfall accumulative period from December to June in Mediterranean
264 region (Barbeta et al. 2013; Liu et al. 2015; 2017). Then we applied these different SPEIs to
265 test the correlations with plant growth for forest and shrubland. The best-fitted SPEIs with the
266 vegetation growth for forest (separately for high and low canopies) and shrubland were
267 selected based on the stronger correlations (the details were described in the Appendix). We
268 used a linear mixed model for growth responses ($\text{Growth}=\text{Drought}*\text{SPEI}$) at both forest and
269 shrubland sites according to the lowest Akaike information criterion (AIC). The plot nested
270 with year (plot+year) was selected as random factor, to explain the spatial and temporal
271 autocorrelation. Moreover, significant differences for the slopes between control and drought

272 for the vegetation growth were statistically tested by least-Squares Means (lsmeans package).
273 The vegetation responses of control and drought at extreme dry years were assessed by
274 repeated measures ANOVA. All the analyses were performed with the R program (R version
275 3.5.0).

276

277 **Results**

278 *Vegetation responses to experimental drought*

279 Long-term experimental drought decreased either aboveground biomass increment (ABI) in
280 both the Mediterranean forests or standing density in the shrubland. Experimental drought
281 decreased the ABI values for both H-canopy and L-canopy (t-value = -3.52, $p < 0.001$ and t-
282 value = -2.41, $p < 0.05$; respectively) (Fig. 3A, B). The effects of experimental drought on
283 ABI were stronger for the first 5 years (1999-2004), especially for L-canopy. Experimental
284 drought decreased the standing density for the whole 1999 to 2016 period in the early-
285 successional shrubland (t-value = -7.71, $p < 0.001$), but the effect tended to be more stable
286 after the year 2002 (Fig. 3C).

287 *The changes of vegetation growth to natural drought (SPEIs)*

288 The values of vegetation growth were also correlated positively with the natural
289 meteorological droughts (SPEI) during spring-winter season (Fig. 4 and Table 2). In the
290 forest, ABIs increased with May SPEI-7 for the H-canopy (t-value = 2.77, $p < 0.05$) and with
291 May SPEI-5 in the L-canopy (t-value = 2.41, $p < 0.05$) (Fig. 4A, B). Interestingly, we found
292 there was a significant interaction between May SPEI-5 and experimental drought treatment
293 for the ABI in the L-canopy according to the best fitted model (t-value = 2.07, $p < 0.05$) (Fig.
294 4B). In the H-canopy, the slope of the ABI versus May SPEI-7 correlation did not differ
295 among control and drought plots (common slope = 1.20, ns) (Fig. 4A). In the L-canopy, ABI
296 correlation with May SPEI-5 was stronger in drought ($R^2 = 0.51$, $p < 0.001$) than control plots
297 ($R^2 = 0.32$, $p < 0.01$), and the slopes were marginally steeper for drought than control plots
298 (common slope = 1.69, $p < 0.1$) (Fig. 4B).

299 In the shrubland, the standing density was positively correlated with May SPEI-4 during the
300 study period (t-value = 3.53, $p < 0.001$). The positive correlation was stronger in control ($R^2 =$
301 0.34, $p < 0.01$) rather than in drought plots ($R^2 = 0.15$, $p < 0.1$). The slopes of the density versus
302 May SPEI-4 correlation differed among control and drought (common slope = 2.29, $p < 0.05$)
303 (Fig. 4C).

304 *The severe effect of extreme dry episodes*

305

306 Vegetation growth to extreme dry years were strong and apparent both in the forest and the
307 shrubland. In the forest, the ABI decreased more in drought than in control plots in extreme
308 dry episodes in both H- and L-canopy types (Fig. 5A, B). In the shrubland, there was
309 significant lower biomass accumulation for the drought at extreme dry years (Fig. 5C,
310 ANOVA, $F = 11.02$, $p < 0.01$).

311

312 **Discussion**

313 *Decreases in vegetation growth in response to the long-term drought experiments and the*
314 *progressive meteorological drought*

315 The declines in vegetation growth were accelerated by natural extreme droughts. ABI
316 decreased in response to the experimental drought in the two canopy types (Fig. 3A, B) as
317 reported already in previous studies (Barbeta et al. 2015; Liu et al. 2015; Liu, Ogaya, et al.
318 2018). However, the decrease in ABI appeared earlier and stronger in L-canopy due to
319 shallowest soil site. Indeed, soil depth is a determinant of soil water holding capacity and is
320 likely a modulator of the intensity of plant responses to climate change. Continuous drought
321 reduces the ground water levels limiting the uptake of ground water that is vital for the
322 maintenance of water balance in the hot and dry Mediterranean summer, which leads to
323 hydraulic failure (Barbeta et al. 2015; Liu et al. 2015; Liu, Ogaya, et al. 2018). For this
324 reason, tree mortality and forest die-back have been observed in disturbed Mediterranean
325 forests with shallow soils (Lloret, Siscart, et al. 2004; Carnicer et al. 2011; Saura-Mas et al.
326 2015). In the early-successional shrubland, we also found negative effects of experimental
327 drought on standing density over time (Fig. 3C). Nowadays, the anthropogenic changes in
328 land-use changes such as habitat fragmentation have affected most vegetation ecosystems
329 which may increase ecosystem instability and push back to early-successional status (Seddon
330 et al. 2016; Peñuelas et al. 2013; 2018). Rapid climate regimes could also trigger sharp and
331 strong effects on species diversity and composition shifts (Peñuelas et al. 2007; Kröel-Dulay
332 et al. 2015; Liu et al. 2017). Thus, habitat context may be a key driver of vegetation
333 responses to climate change, so we recommend that future climate experiments account for
334 the factors, such as soil depth and successional status, to improve the understanding and
335 prediction of vegetation dynamic under continuous climate change.

336 Plant growth was closely correlated with SPEIs at growing season. Generally, ABI of
337 forest and standing density of shrubland were positively related with water availability in the
338 winter-spring seasons (Table 2), which is consistent with the findings that rainfall in winter-
339 spring is the most essential for plant growth and establishment in Mediterranean ecosystems

340 (Barbeta et al. 2013; 2015; Liu et al. 2017; Gavinet et al. 2019). The SPEI timescales for
341 forests varied differentially, with longer timescales in H-canopy (Fig. 3, 4). The result
342 indicates higher stem water storage in H-canopy forest than L-canopy in response to water
343 limitation (Vicente-Serrano et al. 2013; Barbeta et al. 2013; Liu et al. 2015; Liu, Ogaya, et al.
344 2018). In addition, H-canopy can successfully access deep soil water and groundwater with
345 longer root depth, allowing it to buffer shorter drought events than L-canopy (Barbeta et al.
346 2015; Liu, Ogaya, et al. 2018). On the contrary, L-canopy with shallow soil likely have
347 shallow roots and shrubland stores less water in stems, may suffer more severe and negative
348 impacts by meteorological droughts in future. Indeed, the mean annual SPEIs and summer
349 SPEIs of August SPEI-3 also suggest that water deficit is becoming more severe and stronger
350 over the latest four decades (Fig. 2), which may increase soil water deficit and cause episodes
351 of tree mortality and forest canopy die-off (Carnicer et al., 2011; Saura-Mas et al. 2015).
352 Furthermore, the trend of summer water deficit is continuing, which will potentially decrease
353 the ecosystem carbon sink and drought recovery in the most immediate future.

354 The correlations with the SPEIs varied greatly between drought treatment and control. For
355 L-canopy, the sensitivity was higher in the drought plot, i.e. the slope of the ABI and SPEI
356 was steeper in drought than that of control, which could be related with the limitation on stem
357 growth and higher mortality rate in the experimental drought (Barbeta et al. 2015; Liu et al.
358 2015; Liu, Ogaya, et al. 2018). For shrubland, there was a strong (steeper slope) and
359 significant relationship between SPEI and standing biomass in control than that of drought
360 plots, indicating the plants in control was more responsive to meteorological drought.
361 However, we observed that the correlations to meteorological drought were similar between
362 control and drought plots for H-canopy, suggesting a consistent sensitivity to natural drought
363 (Fig 3A). Therefore, a new climate status simulated by experimental drought (30% rainfall
364 exclusion) had negative impacts on L-canopy and shrubland, which may also result in
365 different species assembles and composition compared to control plots.

366 Strong decreases in vegetation growth were found in drought plots at extreme dry years.
367 Throughout the extreme dry years, the average ABI in forests and standing biomass of
368 shrubland were more reduced in the drought treatments than in the control. The results might
369 be related to the limitations on leaf carbon sequestration, stem/branch hydraulic failure and
370 higher mortality rates in drought plots than in control plots for the holm oak forests (Barbeta
371 et al. 2015; Liu et al. 2015; Liu, Ogaya, et al. 2018). These frequent and intense extreme
372 episodes might significantly change forest productivity over time due to the decreases in
373 plant growth, higher stem mortality and composition shifts (Allen et al. 2015; Martinez-

374 Vilalta et al. 2017). Indeed, a continental-scale reduction in net primary productivity across a
375 large area of Europe was caused by extreme water deficit in summer 2003 (Ciais et al. 2005).
376 Significant differences in standing density between control and drought plots were also found
377 in the extreme dry years in the shrubland (Fig. 5C). Therefore, such plant community in the
378 arid and semi-arid ecosystems may be more sensitive to extreme droughts than more mesic
379 ecosystems in the near future, thus presenting more changes in plant density, community
380 diversity and evenness.

381

382 *Contrasting results in other long-term drought experiments in Mediterranean ecosystems*

383 Plant responses to long-term drought varied among experiments cited in the literature. The
384 high risk for limitation of carbon uptake could come from lower photosynthetic activities
385 and/or increase higher respiration rates (Wu et al. 2011; Beier et al. 2012; Reinsch et al.
386 2017). However, it has been shown that decadal drought did not affect species richness and
387 aboveground biomass in Mediterranean shrublands in Israel, possibly as a result of ecosystem
388 resistance with stable species diversity and composition (Tielbörger et al. 2014; Metz &
389 Tielbörger 2016). An experimental drought that started in 2004 in another Mediterranean
390 holm oak forest (Puébachon State Forest, South France) had no effect on stem growth
391 (Martin-Stpaul et al. 2013), but strongly decreased stem sap flow, leaf and acorn production
392 (Limousin et al. 2009; 2012; Gavinet et al. 2019). Recently, it was reported that long-term
393 (15 years) drought have decreased 10% ANPP possibly owing to the lower leaf and acorn
394 production over time (Gavinet et al. 2019). The varying drought effect on stem growth was
395 possibly related to the site differences between Prades and Puébachon, such as in climate of
396 (650 mm vs 936 mm), stem number (stem ha⁻¹: 13273 in H-canopy and 19005 in L-canopy vs
397 5933) and basal area (m² ha⁻¹: 47.1 in H-canopy and 35.2 in L-canopy vs 24.9). From the
398 above, we can infer our study sites could be more sensitive to drought due to more severe
399 water limitation and stronger competition. However, longer experimental drought (15 years)
400 significantly decreased forest ANPP possibly owing to the lower stem sap flow, leaf and
401 acorn production (Limousin et al. 2009; Martin-Stpaul et al. 2013; Gavinet et al. 2019). Thus,
402 the contrasting responses of drought effect on vegetation across study sites are probably
403 linked to site-specific climate, vegetation structure and composition. Therefore, future studies
404 should focus not only on the physiological, phenological and morphological responses to
405 long-term process, but also on the different context of the study sites in comparison.

406 *Implication for the future experiments*

407 Our results have shown that long-term drought (30% rainfall reduction for 18 years),
408 combined with meteorological drought and extreme dry years are decreasing vegetation
409 growth in the water-limited Mediterranean forest and shrubland ecosystems. Such
410 experiments provide an opportunity to estimate ecosystem function dynamic under future
411 climate change and also a validation of the predictions by dynamic global vegetation
412 modelling (DGVM). However, knowledge gaps still remain that hinder our understanding of
413 future carbon storage in natural ecosystems in a changing climate. Firstly, the magnitude of
414 plant responses to drought differs for temporal processes (short-term vs long-term), and are
415 strongly associated with extremes. Secondly, the response of vegetation growth to climate
416 change may vary greatly with study sites depending on soil depth, water availability and
417 community composition as well as successional status. Since most of previous studies rarely
418 tested these responses, understanding of the dynamics at regional and continental scales
419 remains limited. Thirdly, plant growth to climate change is correlated with multiple
420 physiological, phenological and demographical adjustments that should also be taken into
421 consideration. Fourthly, the responses of vegetation dynamics are complex and
422 multidimensional. For example, drought regimes may decrease plant aboveground biomass
423 and productivity, but increase biomass allocation to belowground plant organs (e.g. root
424 mass), increase fungal and/or bacterial activities. Future studies should also probe the
425 multiple ecological functions (e.g. multifunctionality), such as vegetation growth, seed
426 production, nutrient use and decomposition by the combination of long-term process and/or
427 extremes. Conducting long-term field experiments in contrasting natural ecosystems under
428 different climate regimes and exploring multivariate data analysis of interactions and trade-
429 offs is thus necessary.

430

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438

439

440 **Author contributions**

441 D.L and C.Z analysed the data and wrote the paper and J.P conceived the idea and provided
442 in-depth suggestions for the manuscript. J.P, M.E and R.O designed the experiment,
443 performed the research and revised the manuscript.

444

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Table 1. Characteristics of forests (H- and L- canopies) and shrubland sites. Soil depth and mean tree height represent the range of minimum and maximum and the others show the mean and standard error values. Aboveground biomass for forest and shrubland were measured at the pre-treatment year of 1998 (n=4 and n=6; respectively).

Characteristic	High canopy	Low canopy	Shrubland
Soil depth (cm)	30-50	10-30	5-30
Mean height (m)	8-10	4-6	0.5-1.5
Mean stems at pre-treatment (stem ha ⁻¹)	13273±17.5	19005±29.8	-
Mean basal area and aboveground biomass (m ² /Ha and g/m ² , respectively)	47.1±3.1	35.5±7.9	36.6±2.4
Soil moisture in control plots for 1999-2016 (%)	17.19±0.58	16.32±0.6	19.02±0.8
Soil moisture in drought plots for 1999-2016 (%)	14.79±0.6	13.96±0.6	15.88±0.8

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Table 2. The community responses of above ground biomass increment ABI and density to long-term drought and natural droughts for the forest and shrubland sites. The responses were statistically analysed by linear mixed models based on the lowest AIC values. The variables of experimental drought, SPEI (May SPEI-7 and May SPEI-5) and interaction of experimental drought and SPEI were applied into the models for the two canopy types. And the variables of experimental drought and May SPEI-4 were applied into the model for shrubland. The significance and non-significance were listed.

Plant communities	Variables	t-value	p-value
H-canopy	Experimental drought	-3.52	p < 0.001
	May SPEI-7	2.77	p < 0.05
	Experimental drought x May SPEI-7	1.01	ns
L-canopy	Experimental drought	-2.41	p < 0.05
	May SPEI-5	2.42	p < 0.05
	Experimental drought x May SPEI-5	2.07	p < 0.05
Shrubland	Experimental drought	-7.71	p < 0.001
	May SPEI-4	3.53	p < 0.001

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674 Figures with legends

675 Fig. 1 Two long-term drought experiments in a Mediterranean forest and shrubland. Plastic
676 cover system used to rainfall runoff (A) and exclusion (B) from the forest plots; (C) The
677 location of the two long-term drought experiments; (D, E) And plastic cover system used to
678 exclude rainfall from the shrubland study site

679

680 Fig. 2 Meteorological drought at summer and spring for the forest (A, C) and shrubland (B, D)
681 sites. The SPEI (Standardized Precipitation Evapotranspiration Index) for summer (August
682 SPEI-3) and growing season (May SPEI-5 and May SPEI-4) are presented. And the trends
683 were tested by linear regression, and the significance ($p < 0.05$) were highlighted with lines.

684

685 Fig. 3 Vegetation responses of aboveground biomass increment (ABI) for two canopy types
686 of forest and standing density (sum ln) for the shrubland. The bars are the best-correlated
687 SPEI (Standardized Precipitation Evapotranspiration Index) for H- and L-canopy forests
688 (May SPEI-7 and May SPEI-5; respectively) the shrubland (May SPEI-4). The vertical bars
689 stand for the standard error (se) of the mean for forests and shrubland ($n=2$ and $n=3$,
690 respectively).

691

692 Fig. 4 The comparisons for the slopes between control and drought experiments of
693 aboveground biomass increment (ABI) for two canopy forests and standing density of the
694 shrubland. The differences between control and drought are analyzed by smatr test.

695

696 Fig. 5 Effects of experimental drought on vegetation responses of forest aboveground
697 biomass increment (ABI) and shrubland standing density in extreme dry years. The years
698 2001, 2005-2006 and 2015 were extreme dry years for the forest and 2001, 2005-2006, 2016
699 were extreme dry years for shrubland based on the definition of mean annual precipitation
700 (MAP) < 10 th percentile during the period 1975-2016. There were no significant differences
701 between control and experimental drought during extreme years for the two forest canopies.
702 But we observed the significant differences for the shrubland site ($F = 11.02$, $p < 0.01$).

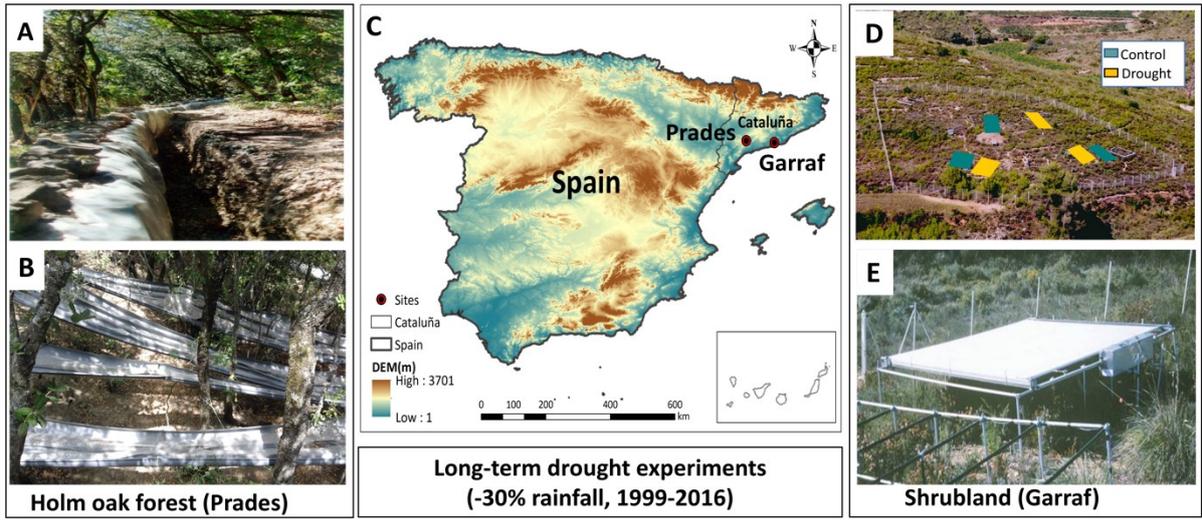
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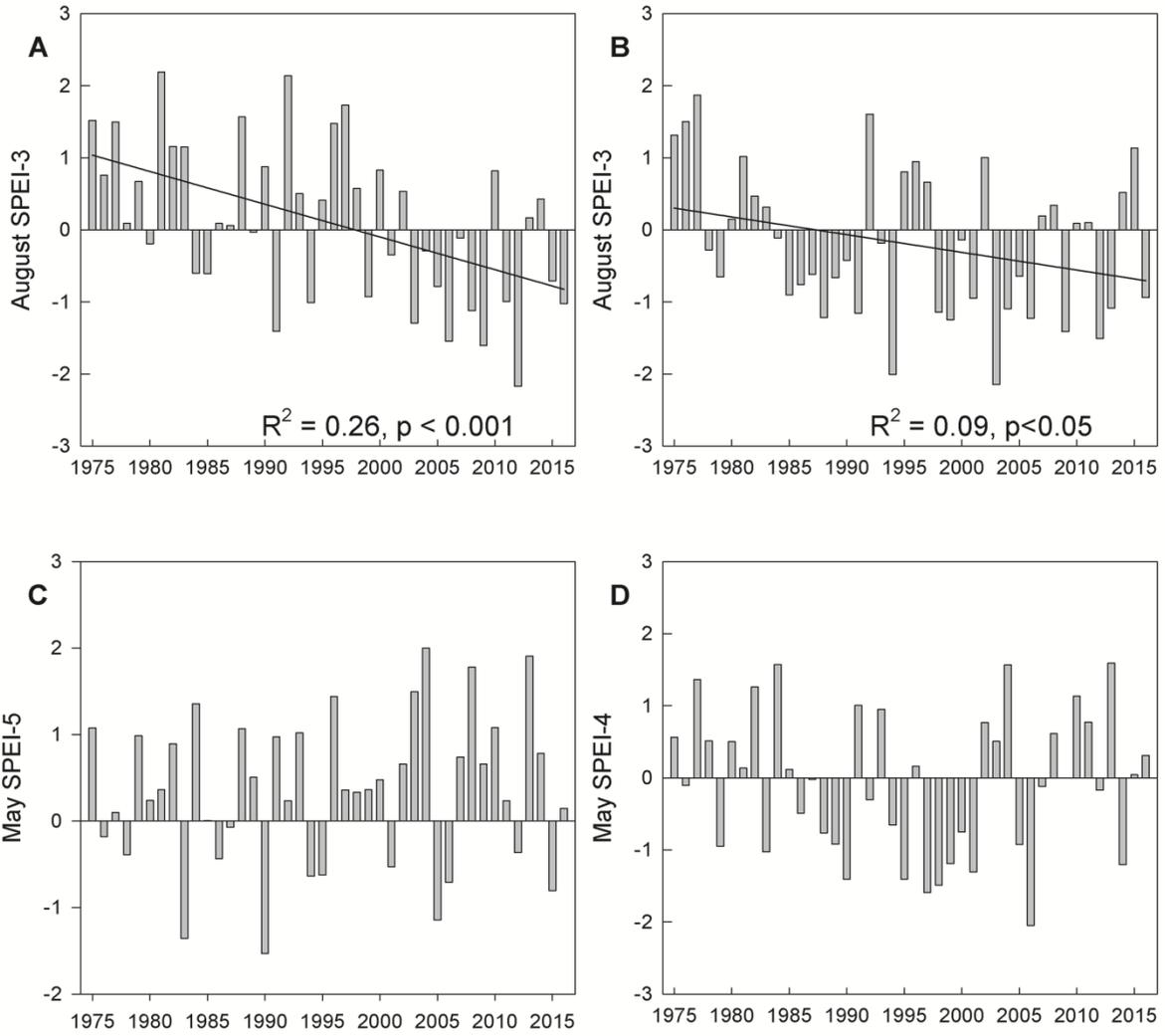
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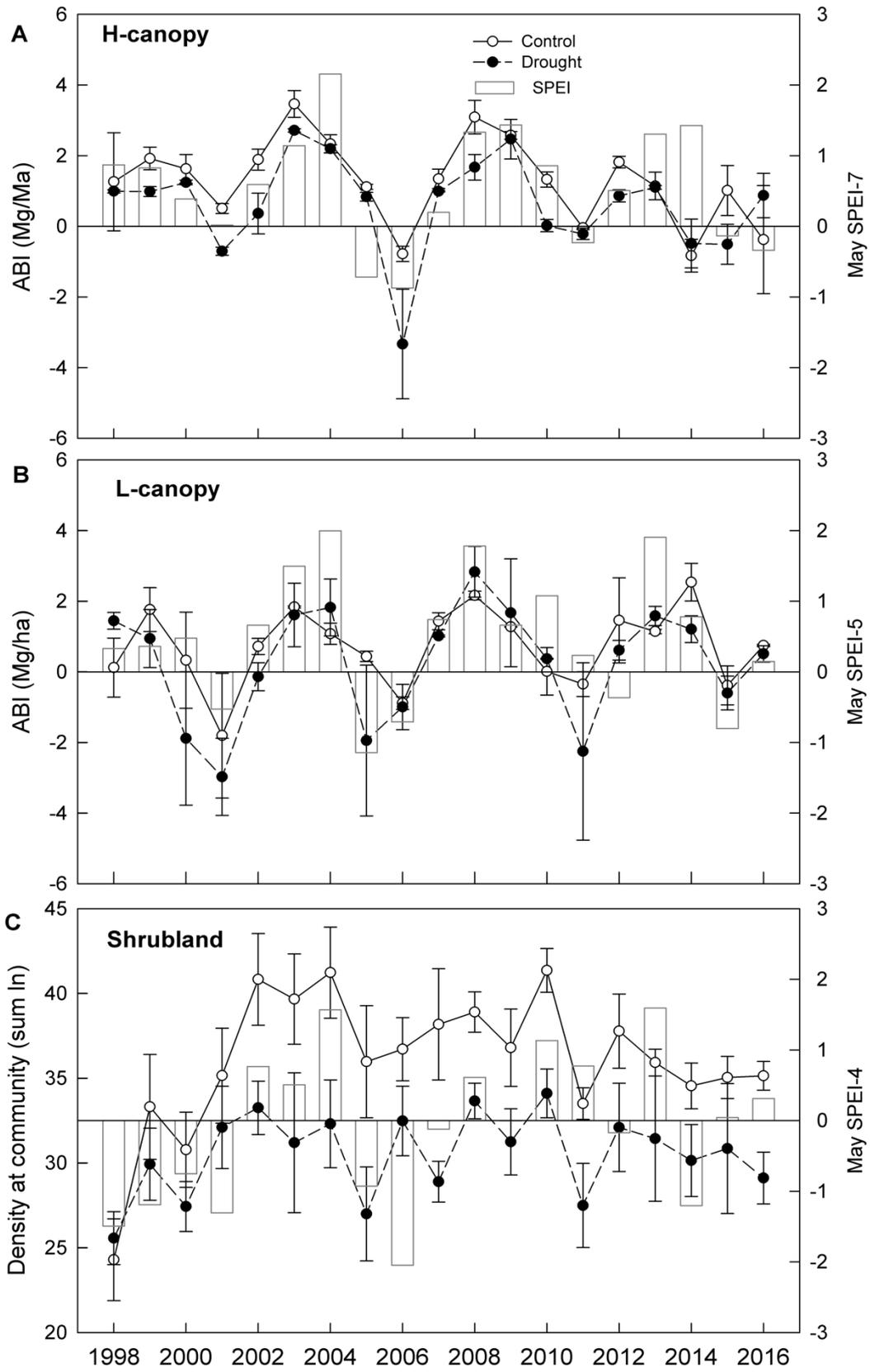


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709 Fig. 1

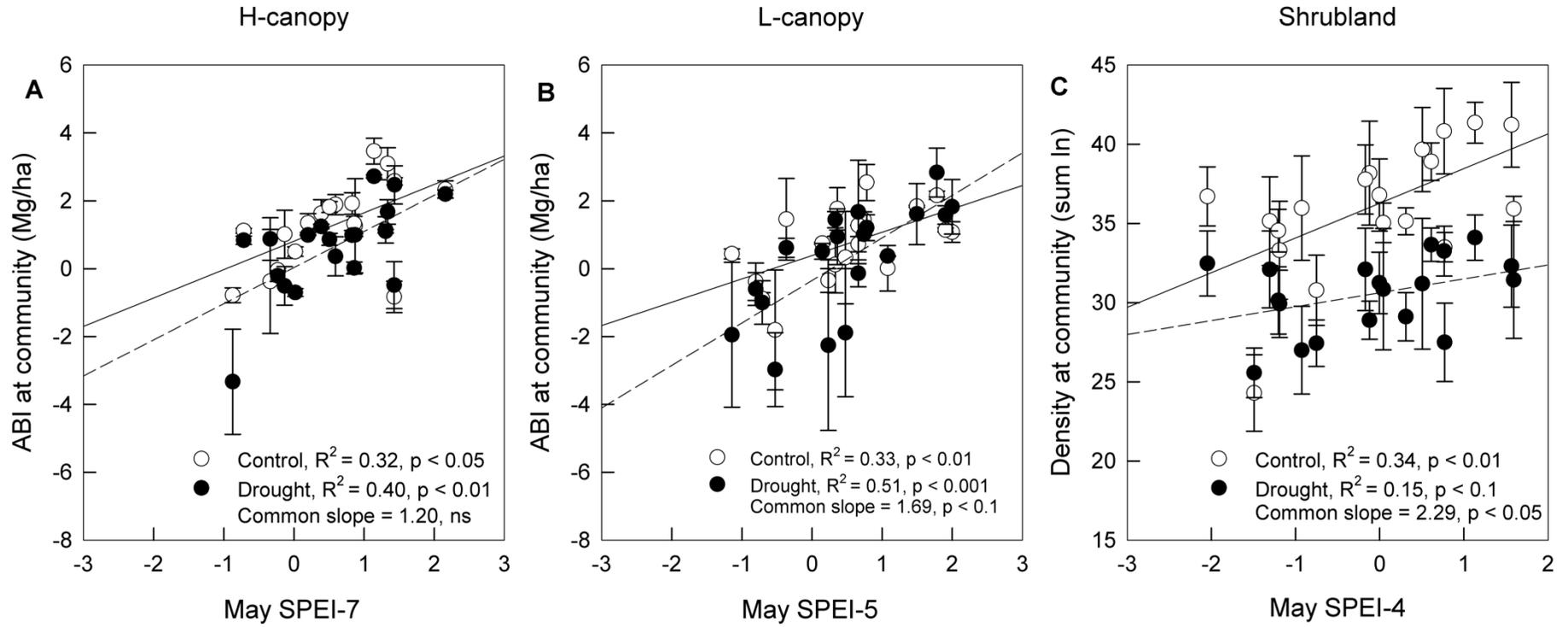


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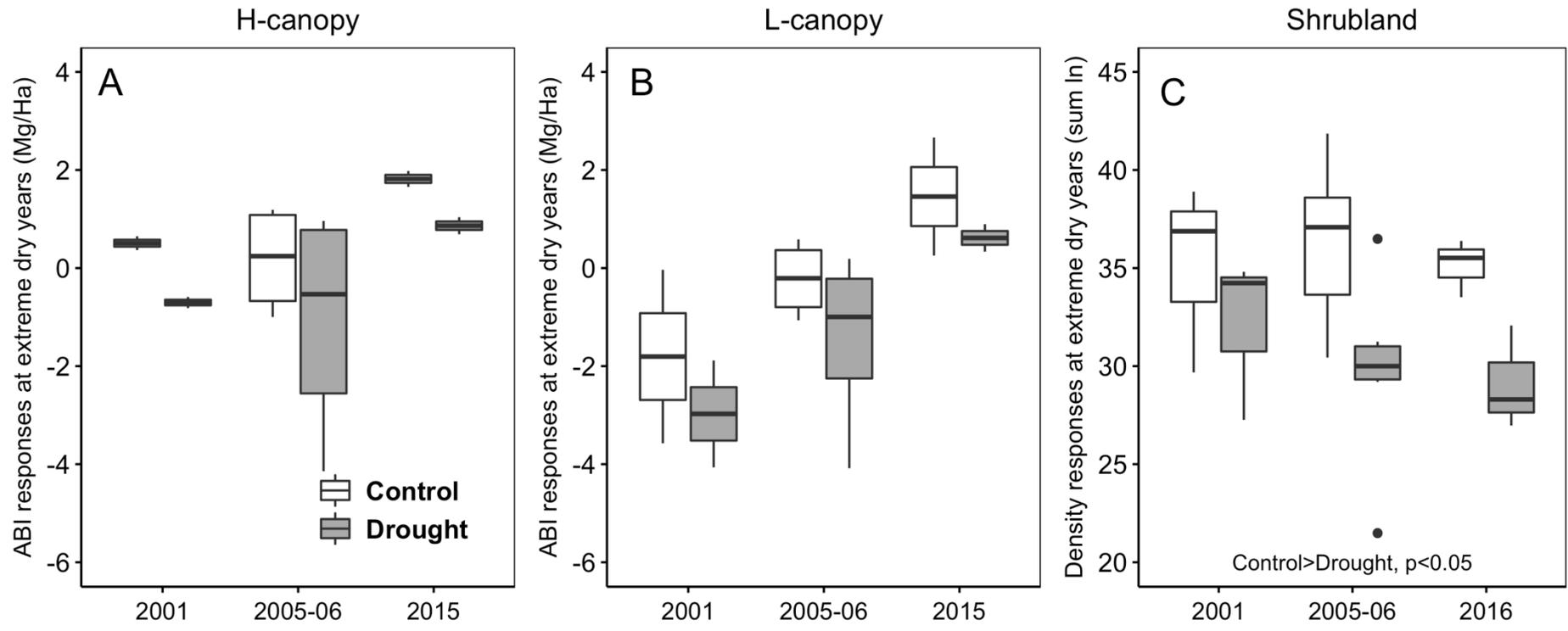
724 Fig. 3



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Fig. 4

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736 Fig. 5