

1 | **Visible ozone-like injury, defoliation, and mortality in two *Pinus uncinata* stands in**  
2 | **the Catalan Pyrenees (NE Spain)**

3 | Maria Díaz-de-Quijano<sup>1,2,\*</sup>, Shawn Kefauver<sup>2</sup>, Romà Ogaya<sup>2</sup>, Pierre Vollenweider<sup>3</sup>,  
4 | Àngela Ribas<sup>2</sup>, Josep Peñuelas<sup>1,2</sup>

5 | <sup>1</sup>CSIC, Global Ecology Unit CREAM-CEAB-UAB, Cerdanyola del Vallès 08193, Catalonia, Spain.

6 | <sup>2</sup>CREAF, Cerdanyola del Vallès 08193, Catalonia, Spain.

7 | <sup>3</sup>Swiss Federal Institute for Forest, Snow and Landscape Research WSL, Birmensdorf, Switzerland.

8 | \* Corresponding author. Tel.: +34 93 581 48 50; fax: +34 93 581 41 51.

9 | E-mail address: [m.diaz.de.quijano@gmail.com](mailto:m.diaz.de.quijano@gmail.com)

10 | **Abstract**

11 | Ozone concentrations in the Pyrenees have exceeded the thresholds for forest protection  
12 | since 1994. We surveyed the severity of visible O<sub>3</sub> injuries, crown defoliation, and tree  
13 | mortality of *Pinus uncinata*, the dominant species in subalpine forests in this mountain  
14 | range, along two altitudinal and O<sub>3</sub> gradients in the central Catalan Pyrenees and  
15 | analysed their relationships with the local environmental conditions. The severity of  
16 | visible O<sub>3</sub> injuries increased with increasing mean annual [O<sub>3</sub>] when summer water  
17 | availability was high (summer Precipitation/Potential evapotranspiration above 0.96)  
18 | whereas higher [O<sub>3</sub>] did not produce more visible injuries during drier conditions. Mean  
19 | crown defoliation and tree mortality ranged between 20.4-66.4 and 0.6-29.6%,  
20 | respectively, depending on the site. Both were positively correlated with the  
21 | accumulated O<sub>3</sub> exposure during the last five years and with variables associated with  
22 | soil-water availability, which favours greater O<sub>3</sub> uptake by increasing stomatal  
23 | conductance. The results indicate that O<sub>3</sub> contributed to the crown defoliation and tree  
24 | mortality, although further research is clearly warranted to determine the contributions  
25 | of the multiple stress factors to crown defoliation and mortality in *P. uncinata* stands in  
26 | the Catalan Pyrenees.

27 | **Keywords**

28 | Ozone, Pyrenees, *Pinus uncinata*, visible ozone injury, defoliation, mortality.

29 |

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35

## 36 **1. Introduction**

37 Ozone (O<sub>3</sub>) concentrations since 1994 in the Catalan Pyrenees have consistently  
38 exceeded the critical level (5000 ppb·h), target value (9000 ppb·h), and long-term  
39 objective (3000 ppb·h) for the protection of forest and semi-natural vegetation set by the  
40 CLRTAP/UNECE and the European Directive 2008/50/EC (Díaz-de-Quijano et al.,  
41 2012). An increase of the O<sub>3</sub> concentrations by a factor of five (1.6%·y<sup>-1</sup>) has been  
42 observed in the Pyrenees from the end of the 19<sup>th</sup> century to the early 1990s (Marengo et  
43 al., 1994). O<sub>3</sub> concentrations increased significantly along an altitudinal gradient in the  
44 central Catalan Pyrenees, from annual averages of 35 ppb<sub>v</sub> at 1040 m a.s.l. to 56 ppb<sub>v</sub> at  
45 2300 m a.s.l. for 2004-2007, but reaching 38 and 74 ppb<sub>v</sub>, respectively, during the warm  
46 period (April-September) (Díaz-de-Quijano et al., 2009).

47 O<sub>3</sub> pollution in the Pyrenees is potentially detrimental to the natural vegetation  
48 and forests (Díaz-de-Quijano et al., 2012). High levels of O<sub>3</sub> pollution have caused  
49 typical O<sub>3</sub>-induced injuries in studies in other European countries under controlled  
50 conditions (Gimeno et al., 2004; Manninen et al., 2003; Marzuoli et al., 2009; Paoletti et  
51 al., 2009; Penuelas et al., 1994; Ribas et al., 2005) or in the field (Calatayud et al., 2007;  
52 Cvitas et al., 2006; Vollenweider et al., 2003a; Waldner et al., 2007). Some forest trees  
53 and herbaceous species along the altitudinal gradient in the Pyrenees are also sensitive  
54 to O<sub>3</sub>, e.g. *Fagus sylvatica*, *Pinus sylvestris*, and *Betula pendula* (Karlsson et al., 2003)  
55 and *Phleum alpinum*, *Leontodon hispidus*, *Valeriana officinalis*, *Silene acaulis*, and  
56 *Hieracium pilosella* (Hayes et al., 2007). O<sub>3</sub> detrimental effects on vegetation include

57 physiological changes in leaves that eventually affect the amount of carbon available for  
58 growth and metabolic needs (Andersen, 2003). Since these effects differ among species  
59 in quality and magnitude, O<sub>3</sub> can alter plant interspecific competition giving place to  
60 shifts in community composition and losses of biodiversity (Wedlich et al., 2012).

61 The Mountain Pine (*Pinus uncinata* Ram.) is an autochthonous European  
62 species that dominate the subalpine forests in the central and eastern Pyrenees to 2400  
63 m a.s.l. from 1600 to 1800 (depending on the area) (Burriel et al., 2004). Mountain pine  
64 forests play a key role in the central and eastern Pyrenees regarding timber production  
65 (between 190 000 and 215 000 m<sup>3</sup>/year), the protective function against natural risks  
66 (floods, avalanches and erosion), biodiversity and landscape conservation, protection of  
67 threaten species (i.e. *Tetrao urogallus*), recreational uses and summer pastures (Coll et  
68 al., 2012). Nonetheless, the forest capacity to deliver these ecosystem services can be  
69 altered due to changes in their ecological function resulting from global change  
70 disturbances (Millar and Stephenson, 2015). The impacts of O<sub>3</sub> on these subalpine  
71 forests of *P. uncinata* in the Pyrenees and on the livelihoods of forest-dependent  
72 communities could have thus major ecological, economic, and social consequences.

73 The effects of O<sub>3</sub> on *P. uncinata* have been recently determined in several  
74 studies. Two-years old or older foliage of *P. uncinata* can develop diffuse light-green  
75 mottling characteristic of O<sub>3</sub> stress (Diaz-de-Quijano et al., 2011), similar to that  
76 reported in other pine species (Sanz and Calatayud, 2015). This diagnosis was  
77 confirmed experimentally (Diaz-de-Quijano et al., 2012b; Mortensen, 1994) and  
78 microscopically (Diaz-de-Quijano et al., 2011), with typical hypersensitive-like  
79 reactions underlying and causing the visible injury (Günthardt-Goerg and Vollenweider,  
80 2007; Vollenweider et al., 2013). However, the extent of visible O<sub>3</sub> injuries to *P.*

81 *uncinata* stands in the Pyrenees and the general health of this tree species have not yet  
82 been determined.

83 The aims of this study were: 1) to evaluate the severity of visible O<sub>3</sub> injuries in  
84 two *P. uncinata* stands along an altitudinal gradient in the Pyrenees where O<sub>3</sub>  
85 concentrations have been monitored for several years, and 2) to assess crown defoliation  
86 and mortality as indicators of the health of the stands.

87

## 88 **2. Materials and methods**

### 89 *2.1. Study area*

90 The study area was in the county of La Cerdanya in the central Catalan Pyrenees (north-  
91 eastern Spain) (Fig. 1). This region is characterised by a mean annual temperature of 7.4  
92 °C and a mean annual rainfall near 895 mm (climatic data for 1951-1999 from the  
93 Climatic Digital Atlas of Catalonia (CDAC) Ninyerola et al., 2000), corresponding to a  
94 Cfb climate of the Köppen-Geiger Classification System, defined as a temperate climate  
95 without a dry season (Agencia Estatal de Meteorología (España), 2011). Average  
96 monthly meteorological data for the study area for 1951-1999 are shown in Fig. 2.

97 We surveyed visible O<sub>3</sub>-like injuries, crown defoliation, and tree mortality on  
98 altitudinal transects within two forest stands dominated by *P. uncinata* (Diaz-de-  
99 Quijano et al., 2009). One transect (Guils transect) had a north-eastern aspect and  
100 ranged from 1500 to 2200 m a.s.l., and the other transect (Meranges transect) had a  
101 southern aspect and ranged from 1700 to 2300 m a.s.l. Nine sites were distributed along  
102 both transects and were surveyed for visible O<sub>3</sub> injury (Fig. 1). Crown defoliation was  
103 surveyed in eighteen plots and tree mortality in sixty plots (the same eighteen plots as  
104 before plus forty-two new plots) distributed in six sites at altitudes ranging from 1500 to  
105 2200 m (Fig 1). Each plot was 20×20 m and was separated by at least 30 m within

106 homogenous, similarly oriented, and sloping parts of the stands and showed no signs of  
107 recent disturbance or silvicultural treatment. In most cases, plots for crown defoliation  
108 and mortality sampling could not be located in the sites where visible foliar injury was  
109 assessed because O<sub>3</sub> passive sampling with their corresponding visible foliar injury sites  
110 did not always show the set of required characteristics just mentioned above.

111

## 112 *2.2. Characterisation of site conditions*

113 Topographic wetness indices were used to characterise spatial soil-moisture conditions  
114 at a catchment scale (Beven and Kirkby, 1979; O'Loughlin, 1986). These indices  
115 assume that topography plays a key role in controlling and modifying the hydrology at a  
116 hillslope scale (Grayson et al., 1999). We thus obtained a GIS-derived topographic  
117 index (topographic wetness index, TWI) that accounts for the contributing area of the  
118 catchment that drains into a given point and for the slope of the terrain, following the  
119 method by Galiano et al.(2010). The water availability during summer was estimated by  
120 the ratio of summer (July to September) total precipitation to average potential  
121 evapotranspiration (P/PET) for 1951-1999.

122 Soil depth was estimated by forcing a 130 cm steel rod into the soil to the  
123 bedrock and averaging readings from five locations. Estimates were obtained at the nine  
124 sites where visible O<sub>3</sub> injuries were assessed and at the plots used for the assessments of  
125 crown defoliation and tree mortality. Maximum water-holding capacity (MWHC) of the  
126 soil was estimated by dividing the mass of water retained after 24 h in a soil core to a  
127 depth of 20 cm by the dry mass of the soil.

128 We used passively sampled O<sub>3</sub> concentrations monitored at nine sites (Fig. 1)  
129 between 2004 and 2008 to calculate the derived O<sub>3</sub> variables. Five sites were located in  
130 the Guils transect (north-eastern aspect from 1500 to 2200 m a.s.l.) and four sites, in the

131 Meranges transect (southern aspect from 1700 to 2300 m a.s.l.). The sampling sites  
132 were located every 200 m in altitude in a forested area dominated by *Pinus uncinata* and  
133 in relatively accessible sites to facilitate fortnightly sampling (for further details about  
134 the sampling locations and procedure see Diaz-de-Quijano et al., 2009). Radiello radial  
135 symmetry passive samplers (Cocheo et al., 1996) were used to analyse O<sub>3</sub> at all  
136 sampling sites. Frequency of sampling was two weeks during the warm period (April to  
137 September) and once a month in the cold period (October to March). The derived O<sub>3</sub>  
138 variables comprised the average of the mean annual concentrations for 2005-2007, the  
139 average of the mean summer (April to September) concentrations for 2004-2008, and  
140 the accumulated sum of the mean fortnightly concentrations for 2004-2008. The average  
141 mean annual O<sub>3</sub> concentrations for 2005-2007 were selected for comparison with the  
142 O<sub>3</sub>-induced injuries on the basis of previously identified correlations between these two  
143 estimates (Kefauver et al., 2014). We used the sum of mean fortnightly O<sub>3</sub>  
144 concentrations for 2004-2008 for comparison with estimates of crown defoliation and  
145 tree mortality. The mean O<sub>3</sub> concentrations from April to September for 2004-2008 were  
146 calculated in order to better characterize each site .

147

### 148 2.3. Assessment of the severity of visible O<sub>3</sub> injury

149 A total of 27 *P. uncinata* trees were examined for visible O<sub>3</sub> injury in May 2007. Three  
150 trees 1) close to the measuring station, 2) at least 2 m high, 3) with a diameter at breast  
151 height (DBH) >10 cm, and 4) with accessible and unshaded branches were selected at  
152 each of the nine sites equipped with O<sub>3</sub> passive samplers (Fig. 1; Díaz-de-Quijano et al.,  
153 2009). Outer and non-terminal branches with a minimum of five needle generations  
154 were sampled from the northern and southern sides of the trees at mid-canopy height  
155 and from the tree tops using a tree-pruning pole. The severity of visible O<sub>3</sub> injury (VI-

156 sev) is one of the two scaled scorings of visual chlorotic mottling (VI), which is part of  
157 the Ozone Injury Index (OII) (Arbaugh et al., 1998; Duriscoe et al., 1996 and see  
158 <http://www.fs.fed.us/psw/publications/documents/gtr-155/> for further details). VI-sev is  
159 calculated by estimating the average percentage of chlorotic mottling for all  
160 symptomatic needles and converting the estimates into a semi-quantitative variable with  
161 five grades of intensity (1:1-6, 2:7-25, 3:26-50, 4:51-75, and 5:>75%). A computer-  
162 generated chart with different percentage covers of chlorotic mottling was used to assess  
163 the VI-sev in order to reduce the source of personal error.

164

#### 165 *2.4. Assessment of crown defoliation and tree mortality*

166 Crown defoliation and tree mortality were assessed in July 2008. Crown defoliation  
167 was estimated by a method slightly modified from that described in the  
168 UNECE/CLRTAP manual (UNECE/CLRTAP, 2006). We chose four subplots oriented  
169 along the main compass directions 8 m from the centre of each plot. The six trees  
170 nearest to the subplot centre were selected as sample trees, for a total of 24 sample trees  
171 per plot. Defoliation was estimated in 5% classes relative to a reference tree as  
172 suggested in the manual (UNECE/CLRTAP, 2006). The reference tree was a healthy  
173 tree with no defoliation, located in the lowest altitude site of the Meranges transect. It  
174 was representative of approximately 75% of the trees in this site. Ratings were averaged  
175 at the plot level. Tree mortality was assessed by counting the total numbers of live and  
176 dead trees and measuring the DBH of each tree. The tree density, percentage of dead  
177 trees, and total basal area (BA) were then calculated and averaged at the plot level.

178

#### 179 *2.5. Statistical analyses*

180 General linear models were used to study the relationships between site characteristics  
181 and severity of visible injury, defoliation, and mortality. Parameters of the fitted models  
182 ( $\beta$ ) were estimated using maximum likelihood. The selection of the model was based on  
183 a stepwise procedure using the Akaike information criterion (AIC). Data were  
184 transformed when needed to satisfy the assumption of normality ( $\log(\text{VI-sev})$ ,  
185  $\log(\text{defoliation})$ ,  $\log(\text{mortality}+1)$ ). All analyses were performed with R, version 2.12.2  
186 (2011, The R Foundation for Statistical Computing).

187

### 188 **3. Results**

#### 189 *3.1. Assessment of severity of visible O<sub>3</sub> injury*

190 VI-sev ranged between 1 and 2 on the Guils transect and 1.4 and 3.2 on the Meranges  
191 transect (Table 1). VI-sev was higher in sites at higher altitude (Table 1) on both  
192 transects, but the average VI-sev was lower on the Guils (mean $\pm$ SE of 1.26 $\pm$ 0.2) than  
193 the Meranges (mean $\pm$ SE of 2.08 $\pm$ 0.4) transect. The final model for VI-sev fitted using  
194 stepwise model selection is shown in Table 2. The interactions between the explanatory  
195 variables in the model were significant. A higher VI-sev was thus associated with  
196 higher [O<sub>3</sub>] only when summer P/PET was >0.96. Individual relationships of VI-sev  
197 with summer P/PET and mean annual [O<sub>3</sub>] for 2005-2007 are shown in Fig. 3.

198

#### 199 *3.2. Defoliation and tree mortality*

200 A summary of the defoliation and tree mortality grouped by altitude are shown in Table  
201 3. Defoliation ranged between 20 and 66% and was generally higher on the Guils than  
202 the Meranges transect. Defoliation and tree mortality also clearly tended to increase  
203 with altitude on the Guils transect (Table 3). This pattern was not as clear on the  
204 Meranges transect, where the mid-altitude site had the highest defoliation. Tree



205 mortality increased with altitude on both transects but was higher on the Guils transect,  
206 ranging between 1-30 and 1-7.5% on the Guils and Meranges transects, respectively.  
207 The Guils transect generally had clearer increasing trends with altitude and higher  
208 defoliation and mortality than the Meranges transect (Table 3). The Guils transect also  
209 had wetter conditions than Meranges, as indicated by the generally higher values for the  
210 variables associated with site water availability (e.g. topographic wetness index, soil  
211 depth, MWHC) (Table 3).

212 Both defoliation and mortality were mostly affected by the sum of the mean  
213 fortnightly  $[O_3]$  for 2004-2008 but were also associated with the explanatory variables  
214 defining site water availability and stand characteristics (Table 2). Increases in  
215 defoliation and mortality were associated with higher accumulated exposures to  $O_3$  and  
216 with higher water availability, which was represented by MWHC for defoliation and by  
217 the topographic wetness index and summer P/PET for mortality (Figs. 4 and 5). Both  
218 defoliation and mortality showed the highest values above a threshold of sum of  
219 fortnightly  $[O_3]$  of 2900 ppb. Defoliation increased abruptly above an MWHC threshold  
220 of  $0.58 \text{ g H}_2\text{O} \cdot \text{g soil}^{-1}$ , and mortality increased above a threshold of 12.5 of the  
221 topographic wetness index. Stand basal area was negatively correlated with defoliation  
222 in the defoliation model, although only marginally, whereas mean DBH was positively  
223 correlated with mortality.

224

## 225 **4. Discussion**

### 226 *4.1. Dependence of VI-sev on summer P/PET and mean annual $[O_3]$ for 2005-2007*

227 The effects of  $O_3$  on vegetation depend on the amount of  $O_3$  entering the leaves and the  
228 plant's sensitivity to  $O_3$  (Matyssek et al., 2008).  $O_3$  uptake is highly influenced by the

229 availability of soil moisture, because it directly affects stomatal conductance (Nunn et  
230 al., 2005; Patterson et al., 2000; Schaub et al., 2007, 2003). Soil-water availability may  
231 also be one of the most important site factors influencing the response of trees to O<sub>3</sub>  
232 stress (Lefohn et al., 1997; Ollinger et al., 1997; Vollenweider et al., 2003a, 2003b).  
233 This influence is in agreement with our results showing that the severity of visible O<sub>3</sub>  
234 injury increased with increasing [O<sub>3</sub>] under situations of relatively high summer P/PET  
235 (>0.96). Stomatal conductance, and the consequent O<sub>3</sub> uptake, were likely high under  
236 high summer P/PET. The lower VI-sev with increasing [O<sub>3</sub>] under conditions of low  
237 summer P/PET could similarly be due to lower stomatal conductances under a certain  
238 level of water availability. Under a situation of low water availability, O<sub>3</sub> uptake will  
239 remain low and cause fewer injuries even if atmospheric [O<sub>3</sub>] is high.

240         Visible O<sub>3</sub> injury could thus be much better predicted using a stomatal flux-  
241 based model that includes the factors influencing stomatal conductance and the specific  
242 hourly [O<sub>3</sub>] at each site. More effort should thus focus on characterising the hourly [O<sub>3</sub>]  
243 at each site and the micro-environmental conditions that affect stomatal conductance,  
244 which are usually influenced by local topography and stand structure. This would  
245 certainly permit to better analyse the relationship between visible O<sub>3</sub> injury and the  
246 specific environmental conditions at each site. The mean percentage of the area of all  
247 symptomatic needles with chlorotic mottling at each site was ≤30% (VI-sev score of  
248 3.22), but visible injury could have appeared much later than below-ground responses to  
249 O<sub>3</sub>, and negative effects on a cellular and histological level may have already begun  
250 (Andersen, 2003; Laurence and Andersen, 2003).

251

252 *4.2. Higher crown defoliation and tree mortality associated with higher accumulated O<sub>3</sub>*  
253 *exposure and water availability*

254 The mean values of crown defoliation between 20 and 66% at our study sites were not  
255 surprising, because the defoliation of *P. uncinata* crowns increased in the Iberian  
256 Peninsula from 15 to 25% between 1996 and 2006 (Carnicer et al., 2011). The rate of  
257 mortality followed the same pattern as defoliation, being higher at those sites with  
258 higher defoliation. The average mortality rate for all sites was 9.19%, which is similar  
259 to the 6% for 1997-2007 for the same species throughout the Iberian Peninsula  
260 (Carnicer et al., 2011). In fact, several studies have reported significant correlations  
261 between deteriorating crown conditions and tree mortality (Dobbertin and Brang, 2001;  
262 Drobyshev et al., 2007; Eckmullner and Sterba, 2000). The high crown defoliation and  
263 tree mortality, with defoliation >25% considered to be indicative of poor tree health  
264 (Innes, 1998), show that the stands of *P. uncinata* in our study generally had poor  
265 vitality.

266       Crown defoliation and tree mortality were correlated most with the accumulated  
267 O<sub>3</sub> exposure during the last five years and with variables characterising soil-water  
268 availability. Plant responses to O<sub>3</sub> depend on the amount of O<sub>3</sub> entering the leaves and  
269 the plant's sensitivity to O<sub>3</sub> (Matyssek et al., 2008). The amount of O<sub>3</sub> entering the  
270 leaves is mainly affected by the atmospheric O<sub>3</sub> concentration and by the stomatal  
271 conductance (Ro-Poulsen et al., 1998), which is controlled by a range of environmental  
272 variables such as light intensity, temperature, vapour-pressure deficit, and soil-water  
273 availability (Zierl, 2002). Soil-water availability subsequently affects O<sub>3</sub> uptake by  
274 plants (Nunn et al., 2005; Panek and Goldstein, 2001; Patterson et al., 2000; Schaub et  
275 al., 2007, 2003). The higher defoliation and mortality at our sites with higher soil-water  
276 availabilities and accumulated O<sub>3</sub> exposures could thus be due to higher uptakes of O<sub>3</sub>.  
277 In effect, the Guils transect, which was significantly wetter than the Meranges transect,  
278 had the most crown defoliation and tree mortality.

279 We could not, however, identify O<sub>3</sub> exposure as the main causing factor of  
280 crown defoliation and subsequent tree mortality. Crown assessment based on crown  
281 defoliation is one of the best indicators of tree vitality (Dobbertin, 2005), but tree  
282 vitality is influenced by a multitude of stress factors (meteorological (e.g. air  
283 temperature and frost), hydrological (e.g. droughts and floods), biological (e.g. fungal  
284 disease and insects), chemical (e.g. air or soil pollution and soil nutrients), and physical  
285 (e.g. wind)) (Aamlid et al., 2000; De Vries et al., 2000; Landmann and Bonneau, 1995;  
286 Wellbum, 1994; Zierl, 2002). Hence, O<sub>3</sub> exposure cannot be established as the main  
287 cause of crown defoliation and tree mortality in our study: a multitude of other  
288 environmental or anthropogenic stresses difficult to detect and quantify could also be  
289 contributing to the poor tree vitality. Further research should be thus conducted in order  
290 to determine the contribution of other stress factors as well as to diminish the sources of  
291 uncertainty. Hourly measurements of [O<sub>3</sub>] at each site would supply more precise data  
292 on O<sub>3</sub> exposure than sum of mean fortnightly [O<sub>3</sub>]. The use of this kind of data would  
293 diminish the uncertainties entailed by the use of mean fortnightly [O<sub>3</sub>] measured by  
294 passive sampling and it could probably help to better disentangle the relationship  
295 between tree vitality and O<sub>3</sub> exposure.

296

## 297 **5. Conclusions**

298 This study on the severity of visible O<sub>3</sub> injury, crown defoliation, and tree mortality  
299 along two altitudinal and O<sub>3</sub> gradients in stands of *P. uncinata* in the Catalan Pyrenees  
300 indicates that O<sub>3</sub> contributes in part to the reduced tree vitality in this region. The  
301 severity of visible O<sub>3</sub> injuries increased with mean annual [O<sub>3</sub>] when summer P/PET  
302 was above a threshold of 0.96, whereas higher [O<sub>3</sub>] in drier conditions did not cause  
303 more visible O<sub>3</sub> injury. Crown defoliation and tree mortality were positively correlated

304 with the accumulated O<sub>3</sub> exposure during the last five years and with variables  
305 associated with soil-water availability, which suggests a likely higher uptake of O<sub>3</sub>,  
306 because soil-water availability highly influences stomatal conductance. The effect of O<sub>3</sub>  
307 could not, however, be established conclusively and definitively as the main cause of  
308 the crown defoliation and tree mortality in our study, because a multitude of other stress  
309 factors could also be contributing to the poor tree vitality. We can nonetheless conclude  
310 that O<sub>3</sub> is probably one of the factors involved in the crown defoliation and tree  
311 mortality in this area, although further research is clearly warranted to determine the  
312 contributions of the various other stress factors.

313

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555 **Table 1.** Description of sites assessed for severity of visible ozone injury along the Guils and Meranges transects. Numbers in parentheses are standard  
 556 errors of the means.

Sites	Latitude	Longitude	Altitude (m a.s.l)	Aspect	Slope (°)	Topographic Wetness Index	Summer P/PET	Soil depth (cm)	MWHC (g H <sub>2</sub> O·g soil <sup>-1</sup> )	Mean annual [O <sub>3</sub> ] 2005-2007 (ppb)	Severity of visible injury
Guils								<i>n</i> =5	<i>n</i> =3		<i>n</i> =3
G1	42.458532	1.877621	1500	NE	25	12.74	0.69	50.6(6.9)	0.312(0.04)	46.1	1(0.0)
G2	42.460940	1.864956	1700	NE	15	11.58	0.76	36.4(8.8)	0.416(0.01)	47.2	1(0.2)
G3	42.458108	1.856287	1800	NE	15	11.37	0.85	65.8(5.6)	0.436(0.08)	53.7	1.1(0.3)
G5	42.458333	1.842645	2000	NE	5	13.37	0.92	68.4(3.9)	0.635(0.12)	53.9	1.2(0.2)
G6	42.462582	1.808833	2200	NE	2	11.44	1.12	56(10.0)	0.662(0.02)	50.9	2(0.5)
Meranges											
M1	42.452438	1.792290	1700	SW	42	8.42	0.91	42.6(4.5)	0.286(0.04)	46.9	1.4(0.3)
M2	42.456236	1.789355	1900	SE	30	9.68	1.02	21.0(0.5)	0.467(0.05)	50.8	1.5(0.5)
M4	42.464095	1.785139	2100	S	5	9.55	1.09	30.4(3.4)	0.644(0.07)	54.7	2.1(0.4)
M6	42.465586	1.778331	2300	SE	35	9.71	1.18	42.0(5.2)	0.687(0.03)	62.1	3.2 (0.4)

558 **Table 2.** General linear models for severity of visible injury, defoliation, and mortality. The data for the dependent variables were normalised by log  
 559 transformation.

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Model term	$\beta$	SE	$p$
Severity of visible injury (VI-sev) model			
Intercept	19.427	5.136	<0.05
Mean annual [O <sub>3</sub> ] 2005-2007	-0.418	0.105	<0.05
Summer P/PET	-18.769	4.619	<0.01
Mean annual [O <sub>3</sub> ] 2005-2007*Summer P/PET	0.436	0.093	<0.01
Defoliation model			
Intercept	-0.486	0.772	0.538
Sum of mean fortnightly [O <sub>3</sub> ] 2004-2008	$6.93 \cdot 10^{-4}$	$3.06 \cdot 10^{-4}$	<0.05
Basal area	$-4.2 \cdot 10^{-3}$	$1.98 \cdot 10^{-3}$	<0.1
Maximum water-holding capacity	0.537	0.265	<0.1
Mortality model			
Intercept	-2.110	2.317	<0.001
Sum of mean fortnightly [O <sub>3</sub> ] 2004-2008	$5.21 \cdot 10^{-3}$	$9.05 \cdot 10^{-4}$	<0.001
Topographic wetness index	0.379	0.114	<0.01
Summer P/PET	1.871	0.751	<0.05
Mean DBH	0.095	0.047	<0.1

*A stepwise model selection was used starting from the set of variables in Table 1 (for the VI-sev model) and Table 3 (for the defoliation and mortality models). Only the final models are shown. AIC<sub>vi-sev</sub>=-16.65, AIC<sub>defoliation</sub>=-68.95, AIC<sub>mortality</sub>=-137.66.*

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Sites	Number of plots	Altitude (m a.s.l.)	Topographic wetness index	Summer P/PET	Soil depth (cm)	MWHC (g H <sub>2</sub> O·g soil <sup>-1</sup> )	Individuals·ha <sup>-1</sup>	DBH	Basal area	Mean [O <sub>3</sub> ] April-September 2004-2008 (ppb)	Sum of fortnightly [O <sub>3</sub> ] 2004-2008 (ppb)	Defoliation (%)
Guils												
G6	3	2211(1.45)	13.09(0.39)	1.12(0.00)	41.2(14.4)	0.618(0.03)	850(281)	19.4(3.7)	32.2(11.8)	50.9	2953	66.4(15.8)
G4	3	1867(15.0)	11.56(1.50)	0.90(0.02)	63.8(6.5)	0.381(0.08)	2083(563)	18.8(4.5)	66.6(21.1)	49.8	2919	32.4(3.7)
G1	3	1535(7.3)	10.64(0.34)	0.72(0.00)	53.7(5.1)	0.312(0.06)	1416(448)	15.4(1.8)	32.9(4.9)	48.7	2886	36.8(3.4)
Meranges												
M5	3	2231(12.2)	11.29(0.73)	1.13(0.00)	54.6(22.1)	0.481(0.15)	2191(700)	18.9(1.3)	67.4(12.6)	56.5	2926	29.8(8.1)
M3	3	1998(1.45)	10.30(0.72)	0.99(0.01)	45.4(17.2)	0.308(0.00)	2225(651)	14.8(1.5)	44.7(8.0)	51.6	2759	35.2(11.0)
M1	3	1797(3.52)	10.93(0.78)	0.86(1.49)	39.4(3.6)	0.286(0.07)	1133(14)	18.8(1.2)	37.6(5.4)	47.3	2615	20.4(5.4)
												Mortality (%)
Guils												
G6	10	2213(3.88)	12.92(0.29)	1.12(0.00)	41.2(8.2)	0.618(0.03)	887(453)	19.1(3.0)	33.0(15.3)	50.9	2953	29.6(15.1)
G4	10	1869(30.69)	11.92(1.05)	0.90(0.02)	63.8(4.6)	0.381(0.08)	1997(599)	17.9(2.9)	59.5(15.6)	49.8	2919	15.2(8.6)
G1	10	1536(8.49)	10.82(0.25)	0.72(0.00)	53.7(2.9)	0.312(0.06)	1502(346)	15.8(2.1)	36.5(6.1)	48.7	2886	1.48(2.5)
Meranges												
M5	10	2228(26.88)	11.17(0.60)	1.13(0.00)	54.6(12.7)	0.481(0.15)	2350(585)	18.5(1.2)	71.1(13.6)	56.5	2926	7.5(6.0)
M3	10	2009(20.83)	10.37(0;60)	0.99(0.01)	45.4(9.9)	0.308(0.00)	2110(364)	15.9(1.2)	50.5(10.1)	51.6	2759	0.8(1.2)
M1	10	1793(19.92)	11.16(0.71)	0.86(0.00)	39.4(2.0)	0.286(0.07)	1557(887)	17.5(1.6)	42.3(17.4)	47.3	2615	0.6(1.9)

568 **Table 3.** Mean (standard deviation) values of the variables defining plot conditions distributed along six sites.

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575 **Figure captions**

576 **Fig. 1.** Location of the two transects at La Cerdanya in the Central Catalan Pyrenees of Spain.

577 The sites of assessment of visible ozone injury (VI), crown defoliation (tree icon), tree

578 mortality (tree icon), and O<sub>3</sub> concentrations (O<sub>3</sub>)(Diaz-de-Quijano et al., 2009) are indicated.

579 Distribution of the eighteen plots of crown defoliation (three plots per site) and the sixty plots

580 of tree mortality (ten plots per site) are not visible in the figure.

581 **Fig. 2.** Averaged accumulated rainfall (bars) and mean temperatures (lines) from January to

582 December for 1951-1999 (data from the Climatic Digital Atlas of Catalonia

583 (CDAC)(Ninyerola et al., 2000).

584 **Fig. 3.** Correlation between the severity of visible injury (VI-sev) and summer P/PET (log VI-

585 sev = 0.9\*P/PET-0.7;  $p < 0.001$ ;  $R^2 = 0.88$ ) and mean annual [O<sub>3</sub>] for 2005-2007 (log VI-sev =

586 0.03\*(mean annual [O<sub>3</sub>] 2005-2007)-1.5;  $p < 0.05$ ;  $R^2 = 0.59$ ). Datapoints represent observations

587 at plots from both the Guils and Meranges transects ( $n = 9$ ).

588 **Fig. 4.** Correlation between defoliation and MWHC (Defoliation = 169.3\*MWHC<sup>2</sup>-

589 71.7\*MWHC+35.4;  $p < 0.01$ ;  $R^2 = 0.46$ ) and the sum of mean fortnightly [O<sub>3</sub>] for 2004-2008

590 (Defoliation = 0.079e<sup>0.0021SumOzone</sup>;  $p < 0.05$ ;  $R^2 = 0.41$ ). Datapoints represent observations at plots

591 from both the Guils and Meranges transects ( $n = 18$ ).

592 **Fig. 5.** Correlation between mortality and the topographic wetness index

593 (Mortality = 5.5\*TWI<sup>2</sup>-118.2\*TWI+640.8;  $p < 0.001$ ;  $R^2 = 0.66$ ) and the sum of mean fortnightly

594 [O<sub>3</sub>] for 2004-2008 (Mortality = 5.10<sup>-4</sup>\*Sum0408<sup>2</sup>-2.8\*Sum0408+3804.8;  $p < 0.001$ ;  $R^2 = 0.46$ ).

595 Datapoints represent observations at plots from both the Guils and Meranges transects ( $n = 60$ ).

596