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1 Timing leaf senescence: a generalized
 2 additive models for location, scale and
 3 shape approach.

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27 Summary

28 Accurate estimations of phenophases in deciduous trees are important to understanding forest
29 ecosystems and their feedback on the climate. In particular, the timing of leaf senescence is of
30 fundamental importance to trees' nutrient stoichiometry and drought tolerance and therefore to their
31 vigor and fecundity. Nevertheless, there is no integrated view on the significance, and direction, of
32 seasonal trends in leaf senescence, especially for years characterized by extreme weather events.
33 Difficulties in the acquisition and analyses of hierarchical data can account for this.

34 Our study advances science by collecting four years of chlorophyll content index (CCI) measurements in
35 thirty-eight individuals of four deciduous tree species (*Betula pendula*, *Fagus sylvatica*, *Populus tremula*
36 and *Quercus robur*) in Belgium, Norway and Spain, and analyzing these data using generalized additive
37 models for location, scale and shape. As a result, (I) the phenological strategy and seasonal trend of leaf
38 senescence in these tree species could be clarified for exceptionally dry and warm years, and (III) the
39 average temperature, global radiation, and vapor pressure deficit could be established as main drivers
40 behind the variation in the timing of the senescence transition date.

41 Our results show that the onset of the re-organization phase in the leaf senescence, which we
42 approximated and defined as local minima in the second derivative of a CCI graph, was in all species mainly
43 negatively affected by the average temperature, global radiation and vapor pressure deficit. All together
44 the variables explained 89% to 98% of the variability in the leaf senescence timing. An additional finding
45 is that the generalized beta type 2 and generalized gamma distributions are well suited to model the
46 chlorophyll content index, while the senescence transition date can be modeled using the normal-
47 exponential-student-*t*, generalized gamma and zero-inflated Box-Cox Cole and Green distributions for
48 beech, oak and birch, and poplar, respectively.

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58 Keywords

59 *Betula pendula*; *Fagus sylvatica*; Generalized additive models for location, scale and shape; Leaf
60 senescence; Phenology; *Populus tremula*; *Quercus robur*

61 1. Introduction

62 1.1. What is leaf senescence?

63 To survive changing environmental conditions and avoid potential trade-off effects, trees constantly have
64 to optimize their nutrient stoichiometry and water balance (Keskitalo et al., 2005; Munne-Bosch and
65 Alegre, 2004). For example, during tree growth, trees might be unable to compensate fluctuations in the
66 soil's nutrients using their regular mechanisms (i.e. increasing the expression of root transporter genes,
67 root growth and branching, or root organic compound exudation) and need to remobilize nutrients from
68 reserves (Amtmann and Armengaud, 2009; Dakora and Phillips, 2002; Gruber et al., 2013; Maillard et al.,
69 2015).

70 A significant part of the tree's nutrients is present in the leaves and can be remobilized during the process
71 of leaf senescence, a highly coordinated developmental stage of the cells with leaf death as a consequence
72 (Hörtensteiner and Feller, 2002; Keskitalo et al., 2005; Medawar, 1957). It is evident that changes in the
73 tree crown through leaf senescence will affect the tree's water balance and capacity to uptake carbon.
74 Alternatively, leaf senescence can be triggered to correct the water balance, if the tree fails to compensate
75 the water deficit via stomata regulation, with nutrient remobilization as co-occurring effect (Matos et al.,
76 2020; Munne-Bosch and Alegre, 2004). Under drought, premature leaf senescence might occur in certain
77 species (i.e. 'drought-deciduous' species; e.g. *Betula pendula* or *Quercus robur*) to avoid nutrient losses
78 through an unanticipated abscission of green leaves, as it occurs in other species (i.e. 'drought-evergreen'
79 species; e.g. *Fagus sylvatica*) (Estiarte and Penuelas, 2015; González, 2012; Harvey and Driessche, 2011;
80 Manzoni et al., 2015; Marchin et al., 2010; Matos et al., 2020; Sohrt et al., 2018; Vitasse et al., 2011;
81 Wendler and Millard, 1996).

82 The main drivers of leaf senescence are the photoperiod (through phytochrome), the light intensity and
83 spectral quality (through photo-oxidative stress), cold temperatures (through an increasing electrolyte
84 leakage and decreasing antioxidant metabolism), warm temperatures (through a reduction in the
85 carboxylation and an increased respiration) and drought stress (through a reduction in the water potential
86 of the roots cells and increase in the hormones abscisic acid and ethylene) (Estrella and Menzel, 2006;
87 Feller and Fischer, 1994; Lang et al., 2019; Matos et al., 2020). In all cases, the senescence process is
88 guided by increased concentrations of reactive oxygen species (ROS) controlled by antioxidant levels (Jajic
89 et al., 2015; Juvany et al., 2013; Munne-Bosch and Alegre, 2004). During premature senescence, immature
90 leaves will initiate senescence later than mature leaves, as the former have higher concentrations of
91 cytokines and auxins (i.e. senescence delaying hormones) than the latter, and are less sensitive to
92 ethylene (Diamantoglou and Kull, 1988; Marchin et al., 2010; Matos et al., 2020).

93 The variety in drivers that affect the timing and rate of senescence is reflected in different leaf senescence
94 strategies of deciduous species (Manzoni et al., 2015). For example, 'drought-evergreen' species will only
95 initiate leaf senescence in autumn, typically as a result of photoperiodic changes (Vitasse et al., 2009).
96 During a drought, these species can lose carbon and nutrients through respiration or hydraulic failure with
97 the abscission of green leaves as a consequence. Therefore, they might become outcompeted when
98 droughts occur more frequently and persist longer (Crabbe et al., 2016; IPCC, 2014; Manzoni et al., 2015).
99 Alternatively, 'drought-deciduous' species will shed their leaves gradually, or rapidly, when the carbon
100 balance in the leaves becomes negative and the leaf carbon reserves are depleted. When the
101 circumstances improve, 'drought-deciduous' species might develop a new leaf flush to rebalance the
102 trade-off between the carbon uptake and the nutrient cost of making new leaves, and as long as the

103 photosynthesis surpasses the respiration (Manzoni et al., 2015). Some plant genera (e.g. *Alnus*),
104 associated with nitrogen-fixing bacteria, might simply desiccate green leaves without initiating
105 senescence (Gill et al., 2015; Keskitalo et al., 2005). Furthermore, the interaction among different
106 environmental stressors might also affect the timing of the leaf senescence and explain its variance within
107 one or multiple individuals (Archetti et al., 2013; Delpierre et al., 2009; Gressler et al., 2015; Liu et al.,
108 2020; Panchen et al., 2015).

109 The onset of leaf senescence in 'drought-deciduous' species can occur at any time, while it is
110 conservatively timed in drought-evergreen species. In addition, the rate and duration of premature and
111 autumn leaf senescence can also differ among species and years, and leaves can abscise without initiating
112 or completing leaf senescence (Primka Iv and Smith, 2019). Therefore, the timing of leaf senescence, as
113 opposed to the timing of the leaf abscission, is described best on a species-specific basis and in regard to
114 the actually occurring nutrient remobilization (Gill et al., 2015; Munné-Bosch, 2015; Panchen et al., 2015;
115 Xie et al., 2018a). For example, studies found that *Quercus robur* can efficiently remobilize nutrients (e.g.
116 N, P) and microelements (e.g. Ni, B), can undergo premature senescence, and has strong nutrient sinks
117 (e.g. reproductive tissue and sinks that are mostly root-based, instead of bark-based) (Maillard et al.,
118 2015; Villar-Salvador et al., 2015). Studies also noted that a significant decline in chlorophyll is a good
119 indicator of the onset of leaf senescence because the detoxification of chlorophyll always immediately
120 precedes the dismantling of nutrient-rich macromolecules (e.g. rubisco, RNA, et cet.) (Aerts, 1996; Estiarte
121 and Penuelas, 2015; Etienne et al., 2018; Feller and Fischer, 1994; Giraldo et al., 2013; Hörtensteiner and
122 Feller, 2002; Maillard et al., 2015; Marchin et al., 2010; Matile, 2000).

123 1.2. Leaf senescence on the ecological scale?

124 Arguably the timing of the onset of leaf senescence is the moment when the senescence-associated genes
125 (SAGs) are expressed (Andersson et al., 2004; Gepstein et al., 2003; Munne-Bosch and Alegre, 2004;
126 Schippers et al., 2015). Unfortunately, observing the expression of SAGs on an ecological scale is
127 unattainable. Since leaf senescence consists of multiple steps and requires an integrative and multi-scale
128 analysis, assessing the best proxy for detecting temporal trends in leaf senescence therefore becomes of
129 crucial importance (Bresson et al., 2017; Gill et al., 2015; Keskitalo et al., 2005). Examples in the literature,
130 of such proxies include visual coloration assessments, chlorophyll measurements, remote sensing
131 observations of standard indices and coloration analysis through phenocams or drones (Maleki et al.,
132 2020; Piao et al., 2019). Portillo-Estrada et al. (2020) even showed that the onset of senescence
133 corresponded with an outburst in oxygenated volatile organic compounds (VOCs), suggesting the use of
134 VOCs to assess the onset of senescence on a regional scale. Because studies use different definitions of
135 leaf senescence, different proxies, different trend estimation or filtering methods, and even different
136 indicators for phenological transition dates, the reproducibility, comparability and interpretation of
137 results on leaf senescence is also hampered (Gallinat et al., 2015; Gill et al., 2015; Gu et al., 2009; Panchen
138 et al., 2015).

139 The use of different trend estimation methods and different indicators for phenological transition dates
140 should be based on theoretical grounds and good statistical practices (i.e. where the model assumptions
141 are met and ideally the start, rate and end of the leaf senescence process are described separately)
142 (Gallinat et al., 2015; Houlahan et al., 2017; Hudson and Keatley, 2010). Especially because the temporal
143 dynamics of ecological processes are inherently complex (Ryo et al., 2019). For example, the trend
144 estimation methods in the literature range from the fitting of double-logistic functions to complex

145 network-based modelling (Alberton et al., 2019; Bush et al., 2017; Diao, 2019; Menzel et al., 2008; Vander
146 Mijnsbrugge et al., 2016; Xu et al., 2014; Zhang and Goldberg, 2011; Zhang et al., 2003; Zhao et al., 2019).
147 Likewise, phenological transition dates are studied using a variety of methods (e.g. threshold values,
148 spectral signature changes, etc.) (Diao, 2019; Gill et al., 2015; Hudson and Keatley, 2010; Keenan and
149 Richardson, 2015; Lim et al., 2018; Verbesselt et al., 2010; Wingate et al., 2015; Xie et al., 2018b; Xie and
150 Wilson, 2020; Zhang et al., 2003).

151 Zhao et al. (2019) deduced that the detection of phenological transition dates is inherently linked to the
152 accuracy of the model trend and seasonality, and that many methods have additional pitfalls (e.g. the
153 inadequate handling of non-linear trends, too restrictive model assumptions, model misspecification, data
154 noise and usability limitations). Their approach (i.e. ensemble learning) is especially useful for inference
155 but less suited for exploring the drivers of a process and predicting. We therefore use here generalized
156 additive models for location, scale and shape (GAMLSS) to assess the temporal trend, while we use local
157 minima in the second derivative of partial effect functions as phenological transition dates. These should
158 be interpreted as a proxy for the onset of the 're-organization phase' of senescence, a phase defined by
159 large changes inside the leaf cells (e.g. chlorophyll degradation, loss of cellular integrity, decrease in
160 photosynthetic activity, et cet.) (Munne-Bosch and Alegre, 2004).

161 1.3. Research questions and hypotheses

162 This study aims (I) to clarify the phenological strategy and seasonal trend of leaf senescence in four
163 common deciduous tree species (*Fagus sylvatica*, *Quercus robur*, *Betula pendula* and *Populus tremula*)
164 during exceptionally warm and dry years, and (II) to determine the environmental drivers of the leaf
165 senescence timing. The link between the estimation of trends, seasonality and phenological transition
166 dates was addressed, while using GAMLSS to assess autumn phenology (Akanztliotou et al., 2002; Rigby
167 and Stasinopoulos, 2001; Rigby and Stasinopoulos, 2005; Zhao et al., 2019).

168 We expected no inter-annual trend in the transition dates in *Fagus sylvatica* because it is assumed to be
169 a 'drought-evergreen species' of which the timing of its leaf senescence is determined by the photoperiod
170 and cold temperatures (Matos et al., 2020; Vitasse et al., 2013; Vitasse et al., 2009). Likewise, no trend
171 was expected in the transition dates in *Populus tremula* because studies suggest that only the photoperiod
172 and light-derived factors can explain its stable onset of senescence (Brelsford et al., 2019a; Brelsford et
173 al., 2019b; Fracheboud et al., 2009; Keskitalo et al., 2005; Michelson et al., 2018). In contrast, as the study
174 period (2017 – 2020) was warm and extremely dry, we expected advanced transition dates in *Betula*
175 *pendula* and *Quercus robur* because they are 'drought-deciduous' species that will initiate premature
176 senescence when exposed to drought stress (Estrella and Menzel, 2006; Maillard et al., 2015; Wendler
177 and Millard, 1996). Additionally, the growth pattern between *Fagus sylvatica* (closed canopy with one leaf
178 flush and senescence starting in the sun-exposed leaves), *Populus tremula* (open canopy with one leaf
179 flush at a mature age), *Quercus robur* (closed canopy with the potential for multiple leaf flushes and
180 senescence starting in the sun-exposed leaves) and *Betula pendula* (open canopy with continuous leaf
181 flushing and senescence starting in the inner parts of the canopy), might be reflected in the timing of the
182 transition dates (Koike, 1990).

183 2. Methods

184 2.1. Description of the sites

185 From mid-July to late November, for each year within the study period, the chlorophyll content index (CCI)
186 was measured for leaves of trees in Belgium (from 2017 to 2020), Norway (from 2017 to 2019) and Spain
187 (from 2018 to 2020). We chose these countries because Belgium, Norway and Spain approximately cover
188 the center, and Northern and Southern edge of our study species' spatial distribution in Europe. In
189 Belgium, we measured ten leaves of twenty mature trees in three forests; the Klein Schietveld (KS), Park
190 of Brasschaat (PB) and Fortress of Borsbeek (BB). Likewise, in Spain, we measured ten leaves of nine trees
191 in three forests; Fogars de Montclús (FM), Sant Joan de les Abadesses (SJA) and El Puig (EP). In Norway,
192 we only measured six leaves of nine trees in a Norwegian forest on the farm of Hoxmark (NO).

193

194 Our five Belgian stands (i.e. beech KS, birch KS, beech PB, oak PB and poplar BB) cover an area of ca 0.5 to
195 3 ha and are all monospecific and homogeneous. The beech and oak trees (ca 60 – 70 and 60 – 120 years
196 old, respectively) were planted but experienced little human management. The birch and poplar trees (ca
197 50 – 60 and 20 - 50 years old, respectively) were naturally established. The soil in the BB (i.e. technozol;
198 sandy loam) is disturbed and wet, while the soil in the KS and PB (i.e. podzol; sandy) is dry to moderately
199 wet, less nutrient rich, and undisturbed (Mariën et al., 2019). The beech trees in Norway were part of a
200 small stand (ca 0.05 ha) planted for educational activities ca 15 years before sampling at the Hoxmark
201 Experimental Farm in Ås. The birch and oak trees (ca. 10 y old) have been spontaneously growing in the
202 vicinity of the small beech stand. The soil has a thick humus top layer over a layer with a large amount of
203 clay. The Spanish stands of beech FM and poplar EP are part of a large forested area, mainly covered by
204 beech, within the Natural park of the Montseny Massif. The poplar trees at EP have been growing
205 spontaneously on old agricultural land partly recolonized by forest ca 100 years ago. The soils in EP
206 (leptozol; sandy with granite and granodiorite) and FM are similar (leptozol; sandy with leucogranite),
207 although the soil in FM contains less organic matter. The *Betula* trees of SJA are in the park of Sant Joan
208 de les Abadesses (leptozol; sandy). They were scattered individuals on a pasture, remaining after a clear-
209 cut removing a large birch stand ca. 50 years ago. In Spain, the poplars are estimated to be ca 20 – 40
210 years old, while the beech and birch trees are estimated to be ca 50 – 100 years old. All individual trees
211 were selected for dominance and vitality.

212 Our study included four poplars (*Populus tremula* L.) in the BB, four beeches (*Fagus sylvatica* L.) and four
213 birches (*Betula pendula* Roth) in the KS, four oaks (*Quercus robur* L.) and four beeches in the PB, three
214 beeches, three birches and three oaks in NO, four beeches in FM, three birches in SJA and two poplars in
215 EP. Every two weeks from 2017 to 2019, and every week in 2020, we (or tree-climbers in Belgium)
216 collected five sun-leaves and five shade-leaves from each tree in Belgium and Spain. In Norway, only three
217 sun-leaves and three shade-leaves were collected from each tree. Subsequently, the CCI of these leaves
218 was measured immediately using a chlorophyll content meter (CCM-200 plus, Opti-Sciences Inc., Hudson,
219 NH, USA) measuring the ratio of the transmission of radiation from the red (653 nm) and near-infrared
220 (931 nm) wavelengths emitted by a diode (Parry et al., 2014). The leaves from each tree were measured
221 approximately on the same moment of the day; and using the same side of the leaf. Due to its curvilinear
222 relationship, the CCI acts as a proxy for chlorophyll concentrations and senescence (Bresson et al., 2017;
223 Michelson et al., 2018).

224 All analyses were done using R v.3.6.3. (R Core Team, 2020). R/dplyr was used for data handling, while
225 R/ggplot2, R/grid, R/viridis and R/cowplot were used for visualization (Garnier, 2018; Wickham, 2009;
226 Wickham et al., 2018; Wilke, 2019).

227

228 2.2. GAMLSS

229 2.2.1. Why use GAMLSS

230 The assumptions of Generalized additive mixed models (GAMMs) were violated (see Text S1). For
231 example, the residuals of the GAMMs were non-independent and identically distributed (i.i.d.),
232 heteroscedastic and non-normal, while the data were likely modeled better using different family
233 distributions, showed over-dispersion, were skewed with heavy tails, and showed bi-modality. A
234 framework capable of addressing these issues is GAMLSS (Rigby and Stasinopoulos, 2005).

235 GAMLSS were introduced to model data where the distribution of the response variable does not
236 necessarily follows an exponential family distribution (e.g. data that is discrete, censored, heterogeneous,
237 truncated, skewed or kurtotic, etc.) (Akanztiliotou et al., 2002; Rigby and Stasinopoulos, 2001; Rigby and
238 Stasinopoulos, 2005). Unlike GAMMs, the GAMLSS inferential framework models not only the distribution
239 parameter μ , but also the distribution parameters σ , ν and τ . These four distribution parameters
240 correspond to the location, scale and shape of the response variable's distribution and can generally be
241 interpreted using the distribution's moments (i.e. the mean, variance, skewness and kurtosis,
242 respectively) (Stasinopoulos and Rigby, 2007). In practice, the semi-parametric GAMLSS framework can
243 use many distribution families to model the response variable whilst providing not only information on
244 changes in the mean but also on the variance, skewness and kurtosis (Rigby et al., 2019).

245

246 Another advantage of the 'complete distribution' approach of GAMLSS, unlike quantile regressions, is that
247 it offers tools for both rigorous testing of the parametric model assumptions and model selection
248 (Voudouris et al., 2013). However, the effectiveness of GAMLSS depends largely on choices made by the
249 user. For example, in GAMLSS, one has to decide the distribution of the response variable, the link
250 functions for each parameter, the explanatory terms for each parameter and the amount of smoothing
251 (Voudouris et al., 2013).

252 2.2.2. The GAMLSS model

253 GAMLSS can be written as:

254

$$Y_i \sim D(\mu_i, \sigma_i, \nu_i, \tau_i)$$

255

$$g_1(\mu_i) = \eta_1$$

256

$$g_2(\sigma_i) = \eta_2$$

257

$$g_3(\nu_i) = \eta_3$$

258

$$g_4(\tau_i) = \eta_4$$

259

260 with Y_i as the independent response variable observations for $i = 1, \dots, n$, observations, D as the distribution
261 of the response variable and g being the monotonic link function relating the predictor η to the
262 distribution parameters $(\mu_i, \sigma_i, \nu_i, \tau_i)$ (Rigby et al., 2019; Stasinopoulos and Rigby, 2007; Stasinopoulos et
263 al., 2017; Stasinopoulos et al., 2018).

264 To model the CCI as a function of its covariates, we used the *gamlss* and *refit* functions in R/*gamlss* (Rigby
265 and Stasinopoulos, 2005). First, we used the lowest Akaike Information Criterion (AIC) values returned by
266 the *fitDist* function in R/*gamlss* to select the best potential distributions. Then, we built several models with

267 different distributions and checked their parametric assumptions using the *GAI*C, *plot* and *wp* functions in
268 R/gamlss. The *GAI*C function returns the generalized AIC values of the models. The *plot* function returns a
269 summary comprising the mean, variance, coefficient of skewness, coefficient of kurtosis and Filliben
270 correlation coefficient (Filliben, 1975). It also outputs four diagnostic plots of the normalized quantile
271 residuals, which allow to test for homogeneity of variance and deviations of normality (Dunn and Smyth,
272 1996; Stasinopoulos et al., 2018). In addition, the *plot* function gives the ACF and pACF plots to test for
273 autocorrelation of the regression residuals. The *wp* function gives a wormplot (a detrended quantile-
274 quantile plot) and is used to test for skewness and kurtosis, or to ensure normality in the residuals
275 whenever the plot of the residuals is within the two elliptic 95% pointwise confidence intervals (Buuren
276 and Fredriks, 2001). We selected the most suited distributions based on the generalized R^2 of Nagelkerke
277 (given by the *Rsq* function in R/gamlss), the normalized root mean square error (RMSE; given by the
278 *performance_RMSE* function in R/performance) the model assumptions and the results on the *fitDist*
279 function (Lüdecke et al., 2021; Nagelkerke, 1991). We chose the generalized beta type 2 (GB2) distribution
280 with default logarithmic link functions for our beech and poplar data, while we considered the generalized
281 gamma (GG) distribution with default logarithmic and identity link functions for our birch and oak data
282 (Harter, 1967; Lopatzidis and Green, 2000; McDonald, 1984; McDonald, 1996; McDonald and Xu, 1995;
283 Stasinopoulos et al., 2018). Both distributions are continuous distributions between 0 to $+\infty$ and can be
284 found in R/gamlss.dist (Stasinopoulos and Rigby, 2020). Observe that the GB2 and GG distributions have
285 five and three parameters, respectively (Rigby et al., 2019).

286 The hierarchical character of our data determined our choice for the ‘most suited’ model. For example,
287 when identical values are observed in an additive term within a categorical independent variable (e.g. the
288 same amount of precipitation per *site*), the additive term behaves as a constant term. The choice to
289 characterize the dependency among observations of the same point predictors (*tree individual* or *site*) is
290 not trivial. Therefore, together with constraints imposed by the link functions, autocorrelation and
291 multimodality in the data, we decided to run a GAMLSS model for each species, year and site (Pregibon,
292 1980). This method yields twenty-six simpler models in which the fixed covariates of the CCI were the *leaf*
293 *type* (categorical with two levels), *tree individual* (categorical with four levels) and *day of the year*
294 (continuous). In another six models, modeling the CCI of birch and oak in NO, the *leaf type* was not
295 included as a covariate. A consequence of the separate models was that we sacrificed predictive power in
296 favor of yearly trend estimation accuracy. To maximize the penalized log-likelihood and reach
297 convergence, we used the default RS algorithm for fitting mean and dispersion additive models (Rigby and
298 Stasinopoulos, 1996a; Rigby and Stasinopoulos, 1996b; Stasinopoulos et al., 2017). To reuse the
299 smoothers of R/mgcv, we implemented the *ga* function from R/gamlss.add as argument of the *gamlss*
300 function (Stasinopoulos and Rigby, 2020; Wood, 2017; Wood, 2004; Wood, 2011; Zuur et al., 2007). The
301 *ga* function hampered stepwise model selection and the extraction of results (the *getSmo* function was
302 required to extract smoother information) but its usage reduced and increased the AIC and R^2 of the
303 models, respectively. Within the *ga* argument, the fixed covariates were the *leaf type* (categorical with
304 two levels) and *day of the year* (continuous). The latter covariate was smoothed using P-splines, while the
305 dependency among observations of the same *tree individual* was incorporated outside the *ga* argument
306 by using *tree individual* as random intercept. We chose P-splines because they are low rank smoothers
307 that ease the selection of the optimal number and position of the knots, and because they are suitable
308 for nonparametric and mixed modeling (Eilers et al., 2015; Eilers and Marx, 1996). We specified the
309 *sigma.fo*, *nu.fo* and *tau.fo* arguments like we modeled the covariates for the mean and specified the usage
310 of the restricted maximum likelihood (REML) in the *ga* argument. The REML argument was chosen as

311 smoothness selection method because it is preferred by literature and less prone to overfitting (Models
312 S1 - S2) (Reiss and Ogden, 2009; Wood, 2011).

313 The parametric assumptions of the models were ensured using R/gamlss's *summary*, *getSmo*, *plot*, *Rsq*,
314 and *wp* functions. The graphs were obtained using R/gamlss's *plot* and *term.plot* functions. To evaluate the
315 risk that a leaf has to present CCI values below a given threshold, we constructed partial quantile plots.
316 These were constructed using R/gamlss's *getQuantile* function and R/graphics *curve* function, and show the
317 partial effect of the explanatory variable *Day of the year* on the quantiles.

318 2.2.3. Smoother dynamics in GAMLSS

319 2.2.3.1. The rate of change in the curvature

320 To assess the rate of change in the leaf senescence progression, Zhang et al. (2003) suggested the use of
321 the curvature (κ) in order to characterize the phenological transition date. This transition date
322 corresponds to the time at which the curvature value decelerates most rapidly. Its decrease can be
323 observed from the function's graph as the time where its curvature changed the most. Zhang et al. (2003)
324 suggested to consider a local minimum in the first derivative (i.e. rate of change) of the curvature $\kappa'(t)$. To
325 track the rate of variation in the curvature over time, one needs to approximate the signed curvature κ in
326 the graph of a function y using the parametrized version of equation 1.

327 Eq. 1

$$\begin{aligned} 328 \quad & \mathbf{x = t} \\ 329 \quad & \mathbf{y = f(x)} \\ 330 \quad & \mathbf{\kappa = \frac{y''(x)}{(1 + (y'(x))^2)^{\frac{3}{2}}} } \end{aligned}$$

331
332 With x as value for the explanatory variable, y as the function obtained by the penalized smoother in a
333 gamlss model and κ as its curvature.

334 The signed curvature can be approximated using the second derivative, if the first derivative (i.e. slope)
335 of the function is small (Eq. 2). In this case, when y is sufficiently smooth and y' is not bigger than y'' .

336
337 Eq. 2

$$338 \quad \mathbf{\kappa = y''(1 + O(y'^2))}$$

339
340 with κ as the curvature of the graph of the function y obtained by the penalized smoother in the gamlss
341 model, and O is the Bachmann-Landau symbol.

342 2.2.3.2. The second derivative of a function

343 To find the transition dates of interest using the functions in R/gamlss, we first ran the *getPEF* function
344 which returned the partial effect that the explanatory variable *day of the year* had on the predictor.
345 Subsequently, we used the *pef* function to calculate the elasticity (i.e. derivatives) of the partial effect
346 function. Then, we could calculate our transition date of interest: a local minima in the second derivative
347 (i.e. the moment when the CCI decline accelerated most rapidly; in other words, when the curvature of
348 the graph changed the most). To assess the confidence on this date, we incorporated its calculation in a
349 resampling procedure iterated 200 times. The number of basis functions (k) in the P-splines was set to five

350 or six. The results were plotted as density plots using the `geom_density_ridges2` argument in R/ggrridges
351 (Wilke, 2020).

352 2.2.4. Drivers of the variation in the transition date

353 We aimed to determine which environmental variables drove the variation in the transition dates. The
354 selected candidates were the daily average temperature, global radiation, precipitation, vapor pressure
355 deficit and year (i.e. year represents also all other environmental factors that change throughout time;
356 e.g. N deposition). Accounting for the nature of the phytochrome system, we opted to include the global
357 radiation, rather than the day length, as predictor for the transition dates (Legris et al., 2019). The
358 relationship between the sum of the global radiation and the day length is strong, although not the same
359 for each year (Fig. S1 – S2). For Belgium, the meteorological data were derived from half-hourly
360 measurements done at the meteorological station in Brasschaat (21 m.a.s.l.; courtesy from INBO and
361 ICOS; Fig. S3; see Mariën et al. (2021)). When necessary, the data were gap-filled using data from the
362 Dutch meteorological station in Woensdrecht (14 m.a.s.l). Meteorological data from Norway and Spain
363 were measured at the meteorological stations in Ås (92 m.a.s.l.; Fig. S4), and Sant Pau de Segúries (852
364 m.a.s.l.; Fig. S5) and Viladrau (953 m.a.s.l.; Fig. S6), respectively. All meteorological data from the
365 Netherlands, Norway and Spain were taken from the Dutch (KNMI, 2021), Norwegian (MET Norway, 2021)
366 and Catalan (MeteoCat, 2021) Meteorological Institutes.

367 The vapor pressure deficit was calculated following the equations in Buck (1981) (Eq. 3).

368 Eq. 3

$$\begin{aligned} 369 \quad e_0 &= 613.75 \times e^{\left(\frac{17.502 \times T}{240.97 + T}\right)} \\ 370 \quad e &= \left(\frac{RH}{100}\right) \times e_0 \\ 371 \quad VPD &= e_0 - e \end{aligned}$$

372
373 with e_0 as the saturation vapor pressure (in Pa), T as the temperature (in °C), e as the actual vapor pressure
374 deficit (in Pa), RH as the relative humidity (in %) and VPD as the vapor pressure deficit (in Pa).

375
376 To indicate the drought stress for the hydrological years (i.e. from the 1st of April to the 31st of March)
377 2017 to 2021, we computed the daily rainfall deficit using solar radiation, wind speed, temperature,
378 relative humidity and precipitation data from the meteorological station in Ukkel (Fig. S7). We first
379 computed the potential evapotranspiration using the Bultot et al. (1983) method, which is similar to
380 Penman (1948)'s method but has parameters calibrated specifically for the local conditions (Baguis et al.,
381 2010). Subsequently, we derived the daily rainfall deficit (I) per hydrological year and (II) using continuous
382 computation by accumulating the daily potential evapotranspiration minus the daily sum of the
383 precipitation. Unlike for the rainfall deficit starting from a zero deficit at the start of the hydrological year
384 (i.e. the first of April), we accounted in the calculation of the continuously computed rainfall deficit for
385 the hydrological fraction in wet periods that does not contribute to building up ground water reserves. As
386 such, we can account for potential effects of droughts in successive years. Long-term (i.e. since 1898) daily
387 precipitation and potential evapotranspiration data are available at the station of Ukkel. The data for the
388 period 1901 - 2000 was considered here as the reference period for the long-term rainfall deficit statistics
389 (Mariën et al., 2021).

390
391 To combine the half-hourly Belgian meteorological data with our transition dates, we averaged the
392 temperature and vapor pressure deficit, summed the precipitation and global radiation, and used only

393 vapor pressure deficit and global radiation data between 7 a.m. and 7 p.m. (to avoid negative values) for
394 each day.

395
396 To assess the relevance of the potential drivers behind the variation in the transition dates in Belgium, we
397 used three methods for each species. First, we made correlation matrices using the *rcorr* and *corrplot*
398 functions in R/Hmisc and R/corrplot, respectively (Harrel Jr, 2020; Wei and Simko, 2017). These provide
399 Pearson's correlation coefficient and indicate which explanatory variables will not influence the variation
400 in the transition dates (Friendly, 2002; Murdoch and Chow, 1996). Then, we ran Random Forest models
401 to determine which explanatory variable explains most variation (i.e. R^2) in the transition dates. The
402 Random Forests, an ensemble learning method that classifies multiple regression trees and returns the
403 average regression, were built by first splitting the data in a training and a validation dataset using R's
404 *floor* and *sample* functions. Subsequently, the percentage increase in the mean square error and the node
405 purity of the predictions was calculated using the *randomForest* function in R/randomForest (Breiman, 2001;
406 Liaw and Wiener, 2002). Finally, to automatically select the 'significant' drivers behind the transition
407 dates, we used multivariate adaptive regression splines (MARS) to perform enhanced adaptive (non-
408 parametric) regressions through hinges (EARTH) (Friedman, 1991; Stasinopoulos et al., 2017). EARTH uses
409 a form of piecewise linear regressions, which capture the non-linear relationships in the data, to select
410 the most significant explanatory variables in a similar manner to step functions. Hinge functions first
411 create kinks or points where linear regressions intersect. These are then continuously assessed using a
412 generalized cross-validation procedure and pruned until an optimal number is established based on
413 changes in the R^2 that are less than 0.001 (Boehmke and Greenwell, 2020). To implement the MARS
414 algorithm using the *earth* function in R/earth as an argument in the *gamlss* function, we required
415 R/gamlss.add2's interface in the GAMLSS framework (Milborrow, 2020; Stasinopoulos et al., 2017;
416 Stasinopoulos, 2020). Note that R/gamlss.add2 is not openly available and its usage is courtesy of the author.

417
418 To model the transition dates as a function of its covariates, we constructed a GAMLSS model for each
419 species. We determined the most suitable distribution for the response variable of each species using the
420 lowest AIC value returned by the *FitDist* function and the lowest Kullback-Leibler divergence. The latter
421 performs as a proxy for the information loss that occurs when one describes a real dataset using a
422 theoretical distribution and is returned by the *KLD* function in R/LaplacesDemon (Statisticat, 2020). However,
423 the lack of data hampered the use of these functions, forcing us to fall back on diagnostic plots to assess
424 the most suitable distribution for the response variable in a trial-and-error fashion. We selected the
425 normal-exponential-student-*t* (NET) distribution with default identity and logarithmic link functions for
426 beech, the GG distribution with default logarithmic and identity link functions for oak and birch, and the
427 zero-inflated Box-Cox Cole and Green (BCCGo) distribution with default logarithmic and identity link
428 functions for poplar (Cole and Green, 1992; Harter, 1967; Lopatzidis and Green, 2000; Rigby and
429 Stasinopoulos, 1994). The NET and BCCGo distributions are both continuous distributions where the
430 former can have values between $-\infty$ to $+\infty$ and the latter between 0 to $+\infty$. Both distributions are found
431 in R/gamlss.dist (Stasinopoulos and Rigby, 2020). The NET distribution has four parameters with fixed shape
432 parameters, while the BCCGo distribution has three parameters (Rigby et al., 2019). For simplicity and to
433 exploit the automatic variable selection, we only specified the MARS algorithm in the μ parameter of the
434 model to allow the fitting of smooth non-linear functions based on the continuous explanatory variables
435 *year*, *average temperature*, *average vapor pressure deficit*, *global radiation* and *precipitation*. No
436 interactions were considered, while the default RS algorithm was used (Model S3 – S5) (Rigby and
437 Stasinopoulos, 1996a; Rigby and Stasinopoulos, 1996b; Stasinopoulos et al., 2017). Plots of the results
438 were retrieved using R/gamlss's *getSmo* function and R/earth's *plotmo* function. For comparison, we ran
439 each model again using the resulting significant explanatory variables as linear predictor variables which
440 we also specified in the *sigma.fo* and *nu.fo* arguments when possible (Models S6 – S8).

441 3. Results

442 3.1. Modeling the chlorophyll content index trend

443 The diagnostic plots for beech and poplar (Model S1), and oak and birch (Model S2) showed that the
444 normalized quantile residuals of the GAMLSS models did not violate any prior assumptions, suggesting
445 that the CCI was adequately modeled using the GB2 and GG distributions (Fig. S8 – S24). However, the CCI
446 was better modeled for beech (using the GB2 distribution) than oak, birch and poplar (using the GG and
447 GB2 distributions) for two reasons. First, the width of the confidence intervals and the quantile functions
448 in the regression terms and partial quantile plots, respectively, of the GAMLSS models for oak, birch and
449 poplar was larger in some years than those of the GAMLSS models for beech (Fig. S25 – S36). Second, the
450 R^2 of Nagelkerke of the GAMLSS models for beech ranged generally higher (from 0.66 to 0.92) than the R^2
451 of Nagelkerke of the GAMLSS models for oak and birch (from 0.29 to 0.89; Table S1). In general, the fitted
452 GAMLSS models for beech also had a lower global deviance and AIC value, and more degrees of freedom.

453 Note that the GB2 and GG distributions were chosen here as the best quantitative distributions with a
454 bias towards particular years. They were not necessarily the most suitable distributions to model the CCI
455 at a particular year, but they were the most suitable distributions for modeling the CCI of a given species
456 during all years.

457 3.2. Characterizing the transition date

458 The CCI of the trees was high in summer and rapidly declined in autumn (Fig. 2). Its decline started first in
459 oak and was followed by poplar, birch and beech, respectively. The standard errors on the average CCI
460 were consistently low.

461 Despite the simple resampling approach, the histograms and density plots of the transition dates reflect
462 each species phenological strategy of leaf senescence (Fig. 3; Table 1). For example, the beech trees in
463 Belgium, Norway and Spain all show a stable timing in their transition dates centered around the third,
464 first and second week of October, respectively. There are exceptions, for example: the beech trees of the
465 KS in 2018 and the beech trees of NO in 2017. The former shows a much earlier and very small peak in the
466 transition dates around the end of July, while the latter show transition dates centered around the fourth
467 week of September. Likewise, in 2018 and 2019, there are earlier and very small peaks in the transition
468 dates around the end of July in the beech trees in FM. In 2019 and 2020, in the beech trees in FM, one
469 can also observe slightly bigger peaks in the transition dates around one to half a month earlier than the
470 major peak in mid-October.

471 The oak trees in the PB show two peaks, with a first small peak (except in 2019 when the peak is large) in
472 the distribution of the transition dates centered around the fourth week of July (2017 - 2019) or late
473 September (2020), and a second much bigger peak around the fourth week of October. The oak trees in
474 NO also show two peaks in 2017 and 2018. However, unlike for the oak trees in the PB, the oak trees in
475 NO show a first bigger peak around the second (2018) and third (2017) week of August and a second
476 smaller peak around the second (2017) and fourth (2018) week of September. In 2019, the oak trees in
477 NO shows only one big peak in the transition dates centered around the second week of September.

478 The transition dates of the poplars in the BB occurred largely in the third week of October in 2018 and
479 2019, and ca one week earlier in 2020. A similar pattern can be observed in the poplars in EP where the
480 transition dates peaked in the third week of October in 2018, in the first week of November in 2019 and

481 the fourth week of September in 2020. However, the long tail in the histograms and density plots of the
482 poplar data suggest that poplars are susceptible to an advance in their timing of the transition dates.

483 For birch trees, in most stands, spread out histograms and density plots of the transition dates suggest a
484 high probability of premature senescence events rather than a clearly defined peak distribution in
485 autumn. However, birch shows a variable pattern in transition dates among years. For example, in 2018,
486 the birch trees of the KS, NO and SJA show only one peak around the fourth and first week of October,
487 and third week of September, respectively. The timing of birch seems similar to that of beech in the regard
488 that birch trees in Belgium have transition dates that generally occurred later than those of Spain. The
489 birch trees of NO show the latest transition dates centered around the first week of September in 2017
490 and the fourth week of August in 2019.

491 3.3. Determining the drivers of the leaf senescence timing

492 The results of the correlation matrices, the Random Forests and the EARTH procedure in the fitted
493 GAMLSS models with MARS (Models S3 – S5) were similar (Fig. 4; Fig. S37 – S39). For example, all methods
494 show the concurring result that the transition date in all four species was - with a different degree of
495 importance - negatively related to the average temperature, the vapor pressure deficit and the global
496 radiation. In addition, most methods suggest that the precipitation did not much affect the timing of the
497 transition dates in any species, while the effect of the year was likely only substantial in birch (Table 2).
498 Note here that the poor man's partial dependence plots showing the precipitation effect on the transition
499 dates seems horizontal for all species, suggesting that the precipitation did not have a substantial effect.
500 Likewise, the poor man's partial dependence plots do not show a clear yearly trend in the transition dates
501 in any of the species, despite the correlation matrices suggesting a small positive and negative correlation
502 in beech and birch, respectively (Fig. 4; panel C).

503 The diagnostic plots of the GAMLSS models with MARS show violations of the assumptions on the
504 normalized quantile residuals (Fig. S40 – S43; Table 3). First, the residuals in the wormplots suggest
505 leptokurtosis, indicating that the kurtosis is modeled too light (Stasinopoulos et al., 2017). Likely, because
506 MARS is a local nonparametric algorithm sensitive to insufficient data at key knots. Although the
507 combination of GAMLSS (semi-parametric) and MARS avoids the use of more complex global
508 nonparametric algorithms (e.g. neural networks) and the problems related to parametric methods (e.g.
509 error distribution knowledge), the explanatory variables could only be specified in the μ parameter. The
510 consequential assumption that the process is driven by the mean shows the limits to modeling the
511 response variable using one distribution parameter. Second, the ACF and pACF show serial
512 autocorrelation. One reason might be that insufficient data hampered efficient use of specialized
513 functions to determine the most suitable distribution or specialized smoothers to cope with non-linear
514 effects. The interactions between the explanatory variables were also not considered in favor of
515 computability. Third, the Durbin-Wu-Hausman, and Breusch-Pagan's LM and Pesaran's CD tests showed
516 the presence of endogenous variables (i.e. variables correlated with the error term) and cross-sectional
517 dependence, respectively (Breusch and Pagan, 1980; Durbin, 1954; Hausman, 1978; Pesaran, 2004;
518 Pesaran, 2014; Wu, 1973). The former and latter tests were executed using the *ivreg*, and *plm* and *pcdtest*
519 functions in R/*ivreg* and R/*plm*, respectively (Croissant and Millo, 2008; Fox et al., 2020; Millo, 2017). The
520 consequence of endogeneity is that the likelihood of reporting significant, but biased, coefficient
521 estimates increased, and that there is always a bias-variance tradeoff in the current experimental set-up.
522 The cross-sectional dependence shows that the explanatory variables influenced the response variable at

523 different velocities and the confounding effects that arise when integrating different datasets. While the
524 model output is valid in its local context, future improvements might include probabilistic approaches or
525 lagged/dynamic variable modeling.

526 The above reasons also explain why the diagnostic plots of the GAMLSS models with linear predictors
527 indicate violations on the assumptions of the residuals, and why the direction and magnitude of the effect
528 shown in some of the regression terms in the alternative GAMLSS models might not concur with the
529 results found in the GAMLSS models with MARS (e.g. the effect of the global radiation on the transition
530 dates in beech; Fig. S44 – S51, Tables S2 – S3).

531 4. Discussion

532 4.1. Timing the transition date

533 Our results show that the GB2 and GG distributions are well-suited to infer trends in the CCI of beech,
534 oak, birch and poplar. Henceforward, their probability density functions (see the dGB2 and dGG functions
535 in R/gamlss) can be used to predict the probability that a leaf reaches a certain CCI value at a given date.
536 Alternatively, their inverse cumulative distribution functions (see qGB2 and qGG functions in R/gamlss) can
537 now be used to predict the quantile value of the CCI at any given probability (i.e. the value of the CCI for
538 which the probability is at or below a given quantile). One could test whether more specialized members
539 of the GB distribution family are more suited than the GB2 and GG distributions to infer trends from more
540 frequently sampled CCI measurements, and whether the GB2 and GG distributions can model the CCI of
541 other deciduous tree species.

542 The resampling approach used to extract the transition dates is less sensitive to outliers and large
543 deviations than parametric methods. However, to deal with heteroscedasticity and spatio-temporal
544 correlation in the data, a case could be made for future implementation of wild bootstrap methods or
545 block non-uniform resampling approaches. Nevertheless, although the variance in the phenological
546 transition dates refers here only to the variance among species and sites, the histograms and density plots
547 of the transition dates reflect our hypotheses well.

548 We did not expect a trend in the transition dates in beech and poplar, while we expected an advance in
549 the transition dates in oak and birch in response to the dry and warm weather. The distributions of the
550 transition dates in beech (and poplar in Belgium) overlapped each year, indicating that the onset of
551 senescence in beech is rather conservative with no clear trend. However, the kurtosis in the distribution
552 of the transition dates in poplars did differ between years. In addition, the distribution parameters for
553 beech and poplar changed slightly each year suggesting that although the bulk of the leaves initiated the
554 re-organization phase of senescence at one given moment, the leaves were not completely insensitive to
555 the effect of environmental variables. This is certainly true for poplar in Spain, where the distribution of
556 the transition dates in 2020 peaks around one month earlier than in 2017. Nevertheless, the onset of
557 senescence in beech can be considered a singular event in correspondence with a singular leaf flush.
558 Premature shedding of beech leaves in summer, as occurred during our study (personal observation) and
559 the study of Bréda et al. (2006), was barely reflected by our estimations of the transition dates or CCI
560 measurements. Therefore, the amount of nutrient remobilization during these events is likely negligible,
561 meaning that these events, in beech, can hardly be considered true senescence (Bréda et al., 2006).

562 The situation is different for oak where the transition date can perhaps be characterized as two events,
563 one low probability event in summer (i.e. marked by a small peak in the distribution of the transition date)
564 and one high probability event in autumn (i.e. marked by a big peak in the distribution of the transition
565 date) in Belgium, and vice-versa in Norway. This result corresponds with the literature where oak is found
566 to show premature senescence and rare polycyclic behavior with a large intraspecific variability (Bobinac
567 et al., 2012; Maillard et al., 2015). Finally, our result concur with our hypothesis that the onset of
568 senescence in birch can occur in several events, concurring with birch's nondeterministic growth pattern.
569 As a consequence, it is sometimes hard to pinpoint the onset of senescence in birch to one specific
570 moment.

571 It remains difficult to forecast the direction of the transition date in our deciduous trees (an advance or
572 delay) because the years 2017 to 2020 were, in Belgium, all exceptionally warm and dry, offering little
573 background on the 'normal' timing for the onset of senescence (Mariën et al., 2019). The extreme drought
574 of 2018, and the heat stress with increased aridity in 2019 were nevertheless not associated with a
575 substantially larger probability for an advanced transition date.

576 We do notice that beech, birch and oak trees in Norway generally have earlier transition dates than trees
577 of the same species in Belgium. Likewise, beech and birch trees in Spain have earlier and later transition
578 dates than trees of the same species in Belgium and Norway, respectively. In 2020, the poplar trees also
579 showed earlier transition dates in both Belgium and Spain. However, drawing generalized conclusion from
580 this result is difficult due to the age differences between the trees. The meteorological circumstances in
581 Belgium, Norway and Spain were also profoundly different, although 2018 was extremely dry and warm
582 in both Belgium and Norway.

583 4.2. What drives the variation in the transition date?

584 Our results show that the NET, GG and BCCGo distributions can be used to model the transition dates for
585 beech, oak, birch and poplar (see the dNET, qNET, dGG, qGG, dBCCGo, and qBCCGo functions in R/gamlss).
586 However, the AIC and Kullback-Leibler divergence values, and the deviations in the diagnostic plots of our
587 models indicate that more data, more suitable or specialized distributions (e.g. finite mixture
588 distributions), and more suitable link functions might be required to improve (I) our estimations of the
589 timing of the transition dates and (II) our estimations of the direction and magnitude of the effects of
590 environmental parameters on the transition dates (Aitkin et al., 2009; Everitt, 2014; Leisch, 2004;
591 Stasinopoulos et al., 2017).

592 Nevertheless, the magnitude in which the timing of senescence in deciduous trees is affected by
593 environmental parameters is clearly species-specific (Xie et al., 2018b). In addition, our results showing
594 that the average temperature, vapor pressure deficit and global radiation significantly and negatively
595 affected the transition dates in all four species supports the idea that the balance between the ROS
596 (especially H₂O₂) and antioxidants drives the timing of senescence (Andersson et al., 2004; Jajic et al.,
597 2015; Juvany et al., 2013; Khanna-Chopra et al., 2013; Munne-Bosch and Alegre, 2004). Senescence would
598 then be initiated by a variety of stress factors, whether or not the leaves first reached or required a 'point
599 of no return' established by the effect of the photoperiod on the phytochrome system (Keskitalo et al.,
600 2005; Olsen et al., 1997). All species would still maintain different mechanisms and thresholds to control
601 their timing of senescence. Note here that the transition date remains a proxy for the actual onset of
602 senescence (i.e. the 'initiation phase' or moment the SAG genes are expressed); a proxy whose value

603 depends on the length between the initiation phase and the moment a significant change occurs in the
604 curvature of the CCI graph (Munne-Bosch and Alegre, 2004).

605 In contrast to literature, our data does not suggest that the photoperiod is solely responsible for the actual
606 onset of senescence in beech and poplar (Keskitalo et al., 2005; Michelson et al., 2018). In fact, the global
607 radiation explained only around 17% and 25% of the variation in the transition dates in beech and poplar,
608 respectively. On the other hand, our results indicate that the temperature and vapor pressure both
609 explain more than 19% to 25%, and 19% to 24% of the variation in the transition dates in beech, poplar,
610 oak and birch. Although the transition date is just a proxy, it relates strongly to the onset of relevant
611 ecological processes (i.e. maintenance of the tree's nutrient stoichiometry and response to drought
612 stress) defining the onset of senescence (i.e. or at least its re-organization phase). As expected, and shown
613 by the small variation that is found in the transition dates of beech and poplar, the transition date in oak
614 and birch is more sensitive to environmental variables. In future studies, changes in the photon ratios of
615 blue to green, and blue to red could be examined as potential cues related to the diurnal cycle
616 and the timing of senescence (Brelsford et al., 2019a; Brelsford et al., 2019b; Chiang et al., 2019; Kotilainen
617 et al., 2020). In addition, the water vapor column thickness and the total ozone column thickness affect
618 the red to far-red photon ratio. The annual pattern of these atmospheric factors could therefore also have
619 a role as a seasonal cue for changes in the timing of senescence as, for example, studies predicts an
620 increase in future atmospheric water vapor levels (IPCC, 2012; Kotilainen et al., 2020; Solomon et al.,
621 2009).

622 We highlight three additional results. First, the variation in the data explained by the EARTH GAMLSS
623 models (R^2 ranges from 0.71 to 0.93) and the Random Forest models (the percentage of variance
624 explained ranges from 89% to 98%) is rather high. Second, the precipitation did not have large immediate
625 effects on the transition date in our species, certainly not in beech. Third, the year (interpreted here as a
626 measure for the unexplained variance, rather than its temporal meaning) explained only around 12% to
627 23% of the variation of the transition dates. Any legacy effect or changes in the timing of the leaf out are
628 not expected to explain more than 23% of the variation in the transition dates (Chen et al., 2019; Fu et al.,
629 2014; Keenan and Richardson, 2015).

630 5. Conclusion

631 The GB2 distribution is well suited to model the CCI in beech and poplar leaves, while the GG distribution
632 yields satisfactory results to model the CCI in oak and birch. The distributions on the transition dates, (NET,
633 BCCGo and GG), give a good indication of the uncertainty that surrounds the onset of senescence in beech,
634 oak, birch and poplar, and can be used as prior information for Bayesian analyses. They also allow the
635 inference of environmental variables, mainly the average temperature, vapor pressure deficit and global
636 radiation, that negatively affected the yearly and species-specific variation in the transition dates. The
637 effect of the precipitation and year on the transition dates in beech (and poplar in Belgium) was small.
638 However, the effect of the year on the scale and shape of the transition date distribution was substantial
639 in oak and birch, two deciduous tree species known for their premature senescence. To conclude, we
640 highlight the link that has been made between the estimation of trends, seasonality and phenological
641 transition dates.

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647 Author contributions

648 MC designed the sampling methodology; the research team of MC, which includes ID, MV, TH, BM, PZ,
649 MD, AG, HL and others, provided the CCI data; BM and DP conceived the ideas for the statistical approach
650 and subsequently analyzed the data; JM provided initial code for resampling; TK investigated the effect of
651 light spectrum indicators in Belgium; PW collected and analyzed the data to calculate the rainfall deficit;
652 BM wrote the manuscript. All authors read the final draft and gave approval for publication.

653

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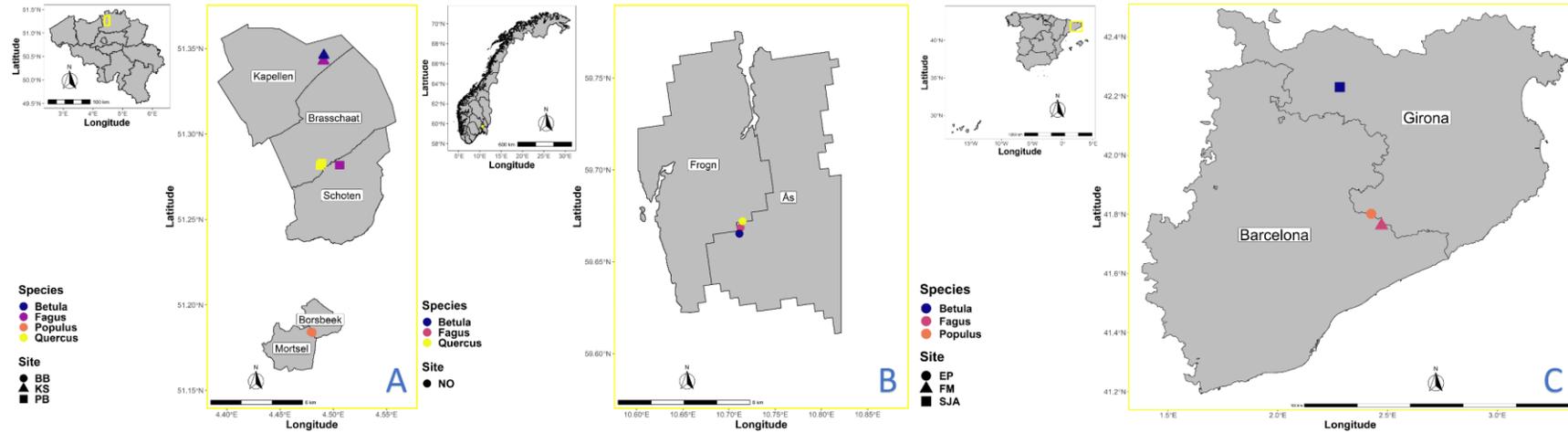
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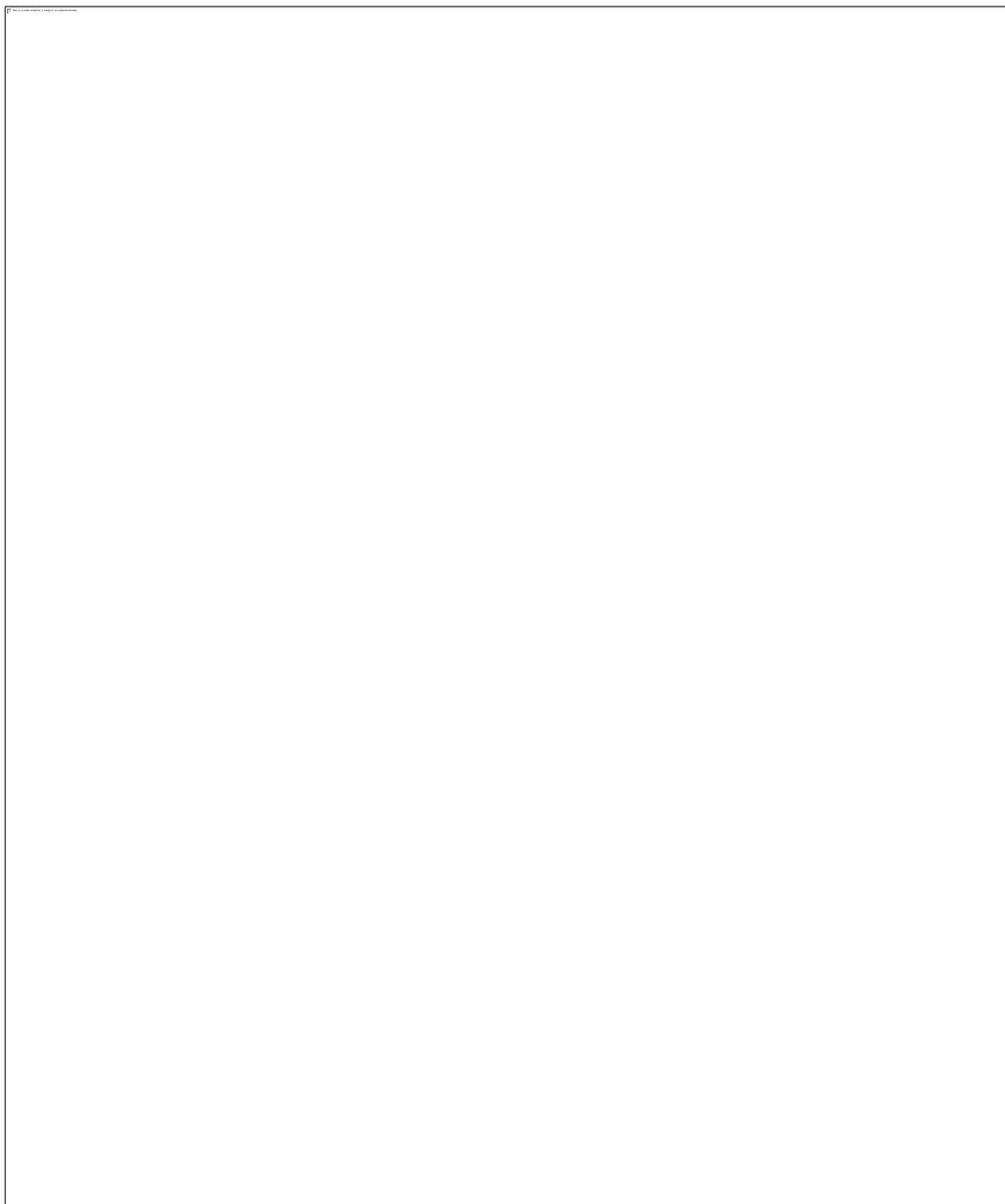
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Figures

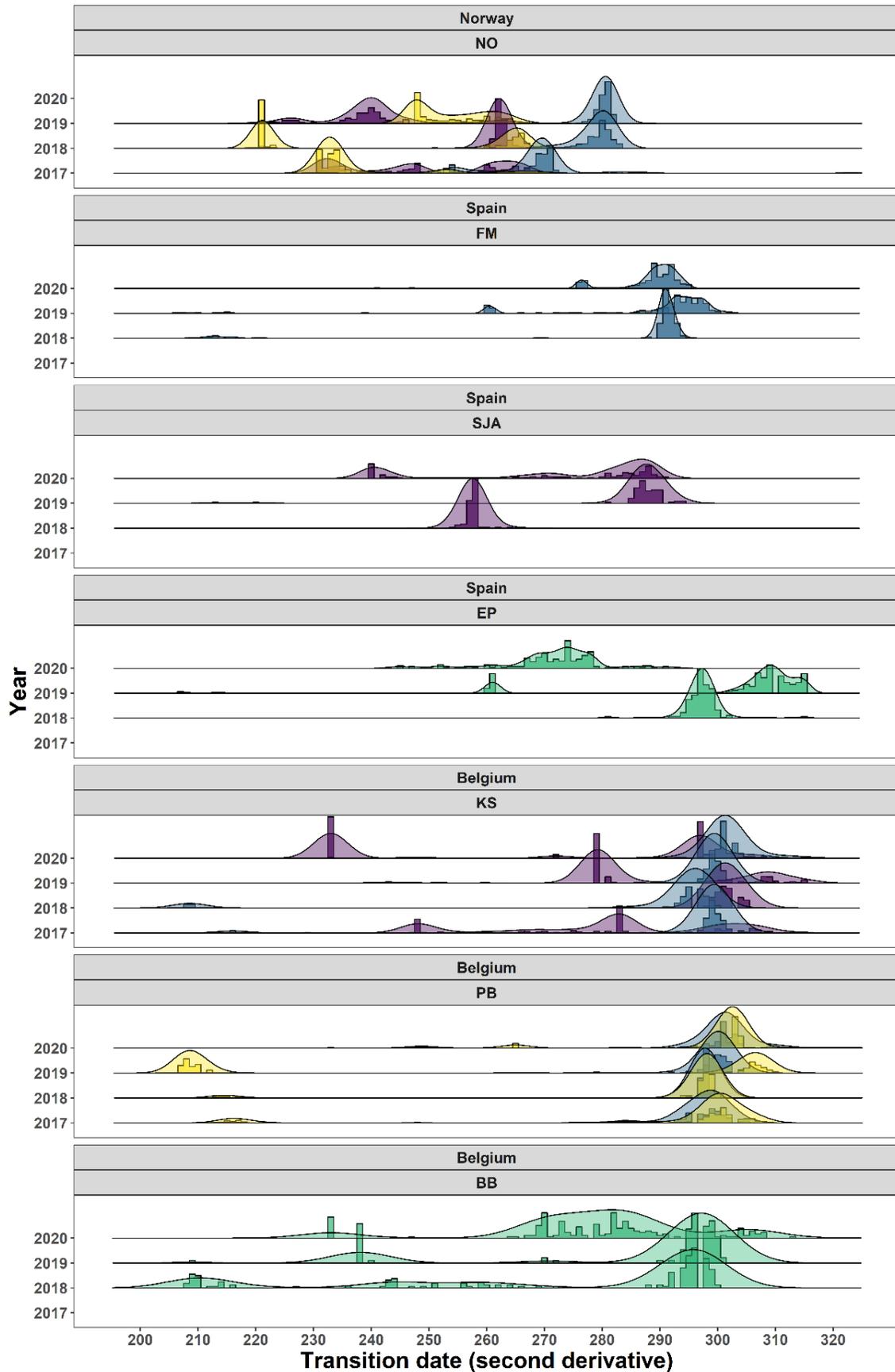


983

984 Fig. 1: The location of each tree within the different municipalities in the province of Antwerp (Belgium; A), the county of Viken (Norway; B) and
985 the provinces of Barcelona and Girona (Spain; C; yellow rectangles). The colored dots represent the location of each individual *Betula pendula*
986 (*Betula pendula* (blue), *Fagus sylvatica* (purple), *Populus tremula* (red) and *Quercus robur* (yellow) tree. The shape of each dot shows the site where each tree is
987 located. In Belgium, BB stands for the Fortress of Borsbeek (circle), KS stands for the Klein Schietveld (triangle) and PB stands for the Park of
988 Brasschaat (square). In Norway, NO stands for the farm of Hoxmark (circle). In Spain, EP stands for El Puig (circle), SJA stands for Sant Joan de les
989 Abadesses (square) and FM stands for Fogars de Montclús (triangle). Municipality, county or province names are printed in Bold.

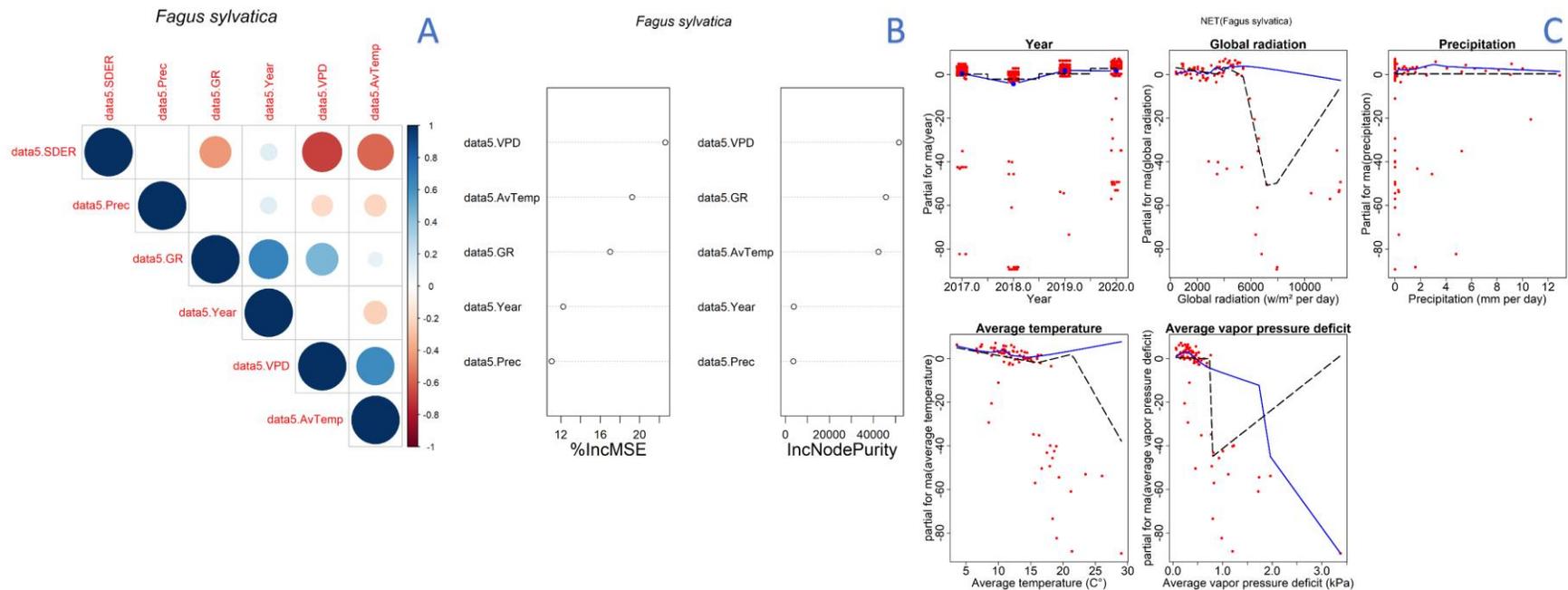


991
992 Fig. 2: The chlorophyll content index (CCI) of the mature *Fagus sylvatica* ($n_{KS} = 4$, $n_{PB} = 4$, $n_{FM} = 4$ and $n_{NO} =$
993 3), *Quercus robur* ($n_{PB} = 4$ and $n_{NO} = 3$), *Betula pendula* ($n_{KS} = 4$, $n_{SJA} = 3$ and $n_{NO} = 3$) and *Populus tremula*
994 ($n_{BB} = 4$ and $n_{EP} = 2$) trees at the sampling sites of the Klein Schietveld (KS), Park of Brasschaat (PB) and
995 Fortress of Borsbeek (BB) in Belgium, El Puig (EP), Sant Joan de les Abadesses (SJA) and Fogars de Montclús
996 (FM) in Spain, and the farm of Hoxmark (NO) in Norway. Dots and error bars represent the mean CCI with
997 standard errors in the years 2017 (blue), 2018 (purple), 2019 (green) and 2020 (yellow).



Species ■ Betula ■ Fagus ■ Populus ■ Quercus

999 Fig. 3: Histograms and density plots of the resampled values showing the distribution of the second
1000 derivative's transition dates for the mature *Fagus sylvatica* ($n_{KS} = 4$, $n_{PB} = 4$, $n_{FM} = 4$ and $n_{NO} = 3$; teal),
1001 *Quercus robur* ($n_{PB} = 4$ and $n_{NO} = 3$; yellow), *Betula pendula* ($n_{KS} = 4$, $n_{SJA} = 3$ and $n_{NO} = 3$; purple) and *Populus*
1002 *tremula* ($n_{BB} = 4$ and $n_{EP} = 2$; light green) trees at the sampling sites of the Klein Schietveld (KS), Park of
1003 Brasschaat (PB) and Fortress of Borsbeek (BB) in Belgium, El Puig (EP), Sant Joan de les Abadesses (SJA)
1004 and Fogars de Montclús (FM) in Spain, and the farm of Hoxmark (NO) in Norway from 2017 to 2020. The
1005 second derivative's transition date represent here (I) the moment when the acceleration in the decline of
1006 the chlorophyll content (CCI) graphs was the highest or (II) a local minima in the second derivative of any
1007 of the CCI graphs. As the curvature (κ) of the CCI graphs was approximated here by the second derivative,
1008 we can also interpret the second derviative's transition date as (III) the moment when the change in
1009 curvature of any of the CCI graphs was the highest or (IV) the moment when the declination of the CCI
1010 graphs concavity was highest. The density plots therefore indicate here the range of dates that constitute
1011 the variation surrounding the actual timing on the onset of senescence (defined as the moment when the
1012 acceleration in the CCI's decline occurs most rapidly, or the curvature of the CCI's graph is highest). A
1013 priori, these plots best characterize the process behind the onset of senescence.



1014

1015 Fig. 4: Assessment of the effects that five environmental variables can have on the second derivative's transition date (SDER) in mature *Fagus*
 1016 *sylvatica* ($n_{KS} = 8$) trees at the sampling sites of the Klein Schietveld and Park of Brasschaat from 2017 to 2019. The five potential environmental
 1017 drivers are the daily average temperature ($^{\circ}\text{C}$; AvTemp), the daily sum of precipitation (mm; Prec), the daily sum of global radiation (w/m^2 ; GR)
 1018 between 7 a.m. to 7 p.m., the daily average vapor pressure deficit (kPa; VPD) between 7 a.m. to 7 p.m. and the year (Year). The year represents
 1019 here both time and any other potential time-dependent factor (e.g. herbivory effects). Panel A shows correlation matrices indicating Pearson's
 1020 correlation coefficient. Panel B shows the result of random forest models indicating the percentage increase in the mean square error rate
 1021 (%IncMSE) and the increase in node purity (IncNodePurity). Panel C shows the response of GAMLSS models with multivariate adaptive regression
 1022 splines (MARS) that performed enhanced adaptive regressions through hinges (EARTH). Alternatively, panel C shows poor man's partial
 1023 dependence plots that indicate the model's response when one predictor variable is varying while the other predictor variables medians are kept
 1024 constant. Red dots indicate the response points, while the black and blue dashed lines indicate nonlinear functions through hinges and smooth
 1025 lines, respectively. The effect of the year and precipitation is not considered significant for *Fagus sylvatica*.

1026 Tables

1027 Table 1: Overview of the mean, median, mode, standard deviation and standard errors (SE) of the second derivative's transition dates' distributions
 1028 for the mature *Fagus sylvatica* ($n_{KS} = 4$, $n_{PB} = 4$, $n_{FM} = 4$ and $n_{NO} = 3$), *Quercus robur* ($n_{PB} = 4$ and $n_{NO} = 3$), *Betula pendula* ($n_{KS} = 4$, $n_{SJA} = 3$ and $n_{NO} =$
 1029 3) and *Populus tremula* ($n_{BB} = 4$ and $n_{EP} = 2$) trees at the sampling sites of the Klein Schietveld (KS), Park of Brasschaat (PB) and Fortress of Borsbeek
 1030 (BB) in Belgium, El Puig (EP), Sant Joan de les Abadesses (SJA) and Fogars de Montclús (FM) in Spain, and the farm of Hoxmark (NO) in Norway
 1031 from 2017 to 2020.

Species	Site	Year	Mean (Doy)	Mean (Date)	SE Mean (Days)	Median (Doy)	Median (Date)	SE Median (Days)	Standard deviation (Days)	Mode (Doy)	Mode (Date)
<i>Betula pendula</i>	KS	2017	281	8 October 2017	2	283	10 October 2017	2	20	283	10 October 2017
<i>Betula pendula</i>	KS	2018	300	27 October 2018	1	301	28 October 2018	1	11	301	28 October 2018
<i>Betula pendula</i>	KS	2019	288	15 October 2019	1	279	6 October 2019	1	15	279	6 October 2019
<i>Betula pendula</i>	KS	2020	267	23 September 2020	2	287	13 October 2020	3	32	233	20 August 2020
<i>Betula pendula</i>	NO	2017	248	5 September 2017	1	248	5 September 2017	1	13	231	19 August 2017
<i>Betula pendula</i>	NO	2018	262	19 September 2018	0	262	19 September 2018	0	1	262	19 September 2018
<i>Betula pendula</i>	NO	2019	240	28 August 2019	1	240	28 August 2019	1	9	240	28 August 2019
<i>Betula pendula</i>	SJA	2018	258	15 September 2018	0	258	15 September 2018	0	1	258	15 September 2018
<i>Betula pendula</i>	SJA	2019	286	13 October 2019	1	287	14 October 2019	1	11	287	14 October 2019
<i>Betula pendula</i>	SJA	2020	272	28 September 2020	1	281	7 October 2020	2	19	240	27 August 2020
<i>Fagus sylvatica</i>	FM	2018	286	13 October 2018	1	291	18 October 2018	2	20	291	18 October 2018
<i>Fagus sylvatica</i>	FM	2019	287	14 October 2019	1	294	21 October 2019	2	19	293	20 October 2019
<i>Fagus sylvatica</i>	FM	2020	288	14 October 2020	0	290	16 October 2020	1	7	291	17 October 2020
<i>Fagus sylvatica</i>	KS	2017	297	24 October 2017	1	299	26 October 2017	1	15	299	26 October 2017
<i>Fagus sylvatica</i>	KS	2018	288	15 October 2018	2	295	22 October 2018	2	25	295	22 October 2018
<i>Fagus sylvatica</i>	KS	2019	299	26 October 2019	0	299	26 October 2019	0	4	299	26 October 2019
<i>Fagus sylvatica</i>	KS	2020	300	26 October 2020	1	301	27 October 2020	1	11	301	27 October 2020
<i>Fagus sylvatica</i>	NO	2017	268	25 September 2017	1	270	27 September 2017	1	7	269	26 September 2017
<i>Fagus sylvatica</i>	NO	2018	278	5 October 2018	1	280	7 October 2018	1	9	280	7 October 2018
<i>Fagus sylvatica</i>	NO	2019	279	6 October 2019	1	280	7 October 2019	1	10	281	8 October 2019
<i>Fagus sylvatica</i>	PB	2017	296	23 October 2017	1	299	26 October 2017	1	11	299	26 October 2017
<i>Fagus sylvatica</i>	PB	2018	298	25 October 2018	0	298	25 October 2018	0	1	298	25 October 2018
<i>Fagus sylvatica</i>	PB	2019	299	26 October 2019	1	300	27 October 2019	1	9	300	27 October 2019
<i>Fagus sylvatica</i>	PB	2020	299	25 October 2020	1	301	27 October 2020	1	12	301	27 October 2020
<i>Populus tremula</i>	BB	2018	273	30 September 2018	3	294	21 October 2018	3	33	296	23 October 2018
<i>Populus tremula</i>	BB	2019	286	13 October 2019	2	296	23 October 2019	3	23	296	23 October 2019
<i>Populus tremula</i>	BB	2020	279	5 October 2020	1	281	7 October 2020	2	18	270	26 September 2020
<i>Populus tremula</i>	EP	2018	297	24 October 2018	0	297	24 October 2018	0	4	297	24 October 2018
<i>Populus tremula</i>	EP	2019	301	28 October 2019	2	309	5 November 2019	2	21	309	5 November 2019
<i>Populus tremula</i>	EP	2020	271	27 September 2020	1	273	29 September 2020	1	9	278	4 October 2020
<i>Quercus robur</i>	NO	2017	237	25 August 2017	1	234	22 August 2017	1	10	231	19 August 2017
<i>Quercus robur</i>	NO	2018	242	30 August 2018	2	223	11 August 2018	2	22	221	9 August 2018
<i>Quercus robur</i>	NO	2019	254	11 September 2019	0	252	9 September 2019	1	7	248	5 September 2019
<i>Quercus robur</i>	PB	2017	291	18 October 2017	2	300	27 October 2017	3	26	301	28 October 2017
<i>Quercus robur</i>	PB	2018	293	20 October 2018	2	298	25 October 2018	2	19	298	25 October 2018
<i>Quercus robur</i>	PB	2019	257	14 September 2019	4	240	28 August 2019	5	49	208	27 July 2019
<i>Quercus robur</i>	PB	2020	299	25 October 2020	1	303	29 October 2020	1	12	303	29 October 2020

1032

1033 Table 2: Overview of the variation (i.e. R^2) in the transition dates explained by each variable in the Random Forest models for *Fagus sylvatica*,
 1034 *Quercus robur*, *Betula pendula* and *Populus tremula*. %IncMSE and IncNodePurity stand for the percentage increase in the mean square error and
 1035 the node purity of the predictions, respectively.

	<i>Fagus sylvatica</i>		<i>Quercus robur</i>		<i>Betula pendula</i>		<i>Populus tremula</i>	
	%IncMSE	IncNodePurity	%IncMSE	IncNodePurity	%IncMSE	IncNodePurity	%IncMSE	IncNodePurity
Year	12	3816	17	22215	22	18372	23	7588
Average temperature	19	42270	27	145023	21	57090	25	47115
Global radiation	17	45654	21	85850	21	46866	25	35847
Vapor pressure deficit	23	51638	19	75337	21	55008	24	43001
Precipitation	11	3632	18	38492	18	23974	19	8148
% Total variance explained	92	NA	98	NA	97	NA	89	NA

1036

1037 Table 3: Overview of the GAMLSS model response indicators for the fitted GAMLSS models with multivariate adaptive regression splines for *Fagus*
 1038 *sylvatica*, *Quercus robur*, *Betula pendula* and *Populus tremula* (Models S3 – S5). Family represent the normal-exponential-student-*t* (NET),
 1039 generalized gamma (GG) and zero-inflated Box-Cox Cole and Green (BCCGo) distribution families that are used to model the response variable.
 1040 The distribution's intercept coefficients for the mean (μ), variation (σ), skewness (ν) and kurtosis (τ) distribution parameters are also given.
 1041 Furthermore we represent the generalized R^2 of Nagelkerke, a summary of the quantile residual values, the degrees of freedom (Df) of the model
 1042 fit, the degrees of freedom of the residuals, the global deviance, Akaike Information Criterion (AIC) and normalized root mean square error (RMSE).
 1043 Indicative for a 'good' model fit is a mean, variance, skewness, kurtosis and Filliben correlation coefficient of 0,1,0,3 and 1, respectively (Hohberg
 1044 et al., 2020)

Species	Family	Algorithm	R ² - Cox Snell	R ² - Cragg Uhler	Summary of the Quantile Residuals					Distribution's intercept coef.				Df fit	Df residuals	Global Deviance	AIC	Normalized RMSE
					Mean	Variance	Coef. of skewness	Coef. of kurtosis	Filliben correlation coef.	μ	σ	ν	τ					
<i>Fagus sylvatica</i>	NET	RS	0.83	0.83	-0.0879	1.4681	-0.2429	3.8768	0.9835	297.4	-0.291	NA	NA	17	1559	5809	5843	0.01
<i>Quercus robur</i>	GG	RS	0.93	0.93	-0.0070	1.0060	0.1860	13.5411	0.9086	5.639	-4.057	-31.81	NA	18	592	3729	3765	0.009
<i>Betula pendula</i>	GG	RS	0.93	0.93	-0.0001	1.0013	-0.0192	23.7295	0.8250	5.64	-4.03	-8.296	NA	18	658	4101	4137	0.009
<i>Populus tremula</i>	BCCGo	RS	0.92	0.92	-0.0034	1.0260	-0.0193	5.2149	0.9756	5.628	-3.977	20.24	NA	19	442	2902	2940	0.01

1045